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# Safety in Cooperative Autonomous Systems

## Vision of Dynamic Safety Management

Dr. Daniel Schneider

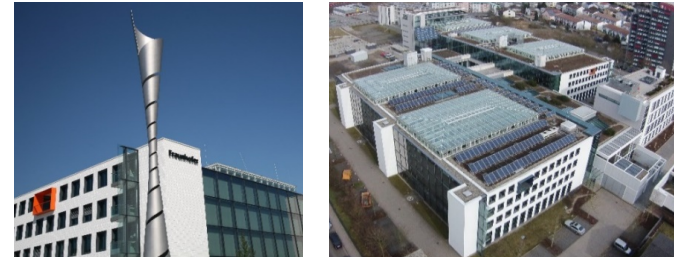
Ms. Sc. Emilia Cioroica



# Fraunhofer IESE

## The institute for software and systems engineering methods

- Founded in 1996, headquartered in Kaiserslautern
- Over 155 full-time equivalents (FTEs)
- Our most important business areas:
  - Automotive and Transportation Systems
  - Automation and Plant Engineering
  - Health Care



- Information Systems
- Energy Management
- E-Government

# ESQ – Focus on Safety

## Model-based Safety Engineering

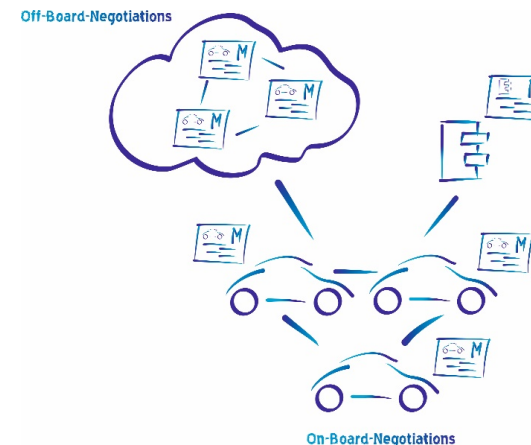
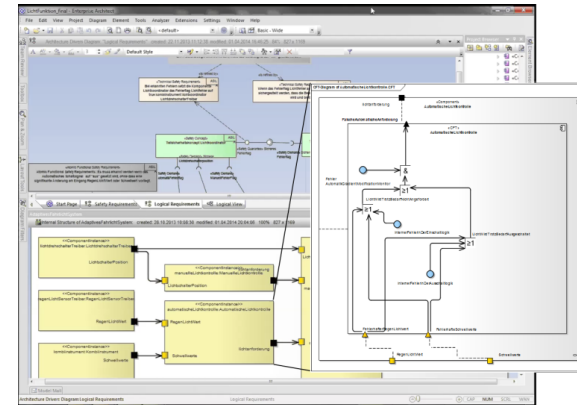
- Hazard- and Riskanalyses
- Safetyanalyses (FMEA, FTA, CFT etc.)
- Safety Concepts
- Tools and methods (in particular **safeTbox**)

## Engineering of Safety-related Solutions; e.g.:

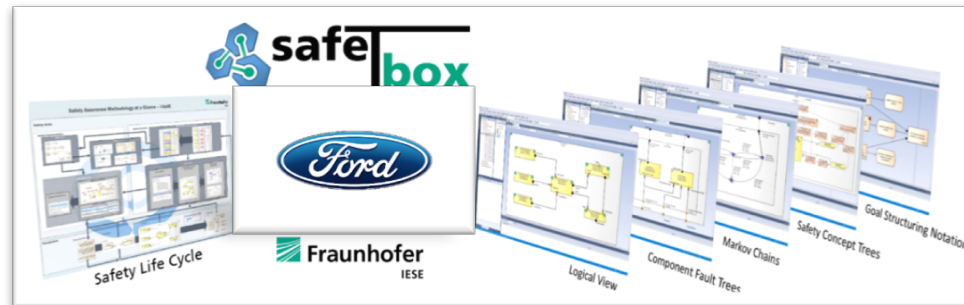
- Controlling critical functions with mobile devices
- Safe CE(/NAC ...) Hardware

## Research Topics

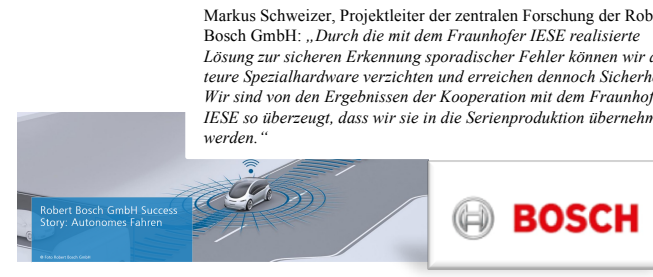
- Modular Certification
- Runtime Certification in open adaptive systems
- Security for Safety
- Safety of autonomous systems
- Automated interference analyses and Embedded apps



# Examples of recent industry projects (since 2016)



Model-based safety engineering with safeTbox



Innovative safety Architectures



# --- Our Vision of Dynamic Safety Management ---

Smart everything – Diverse (yet interlinked) domains, similar challenges





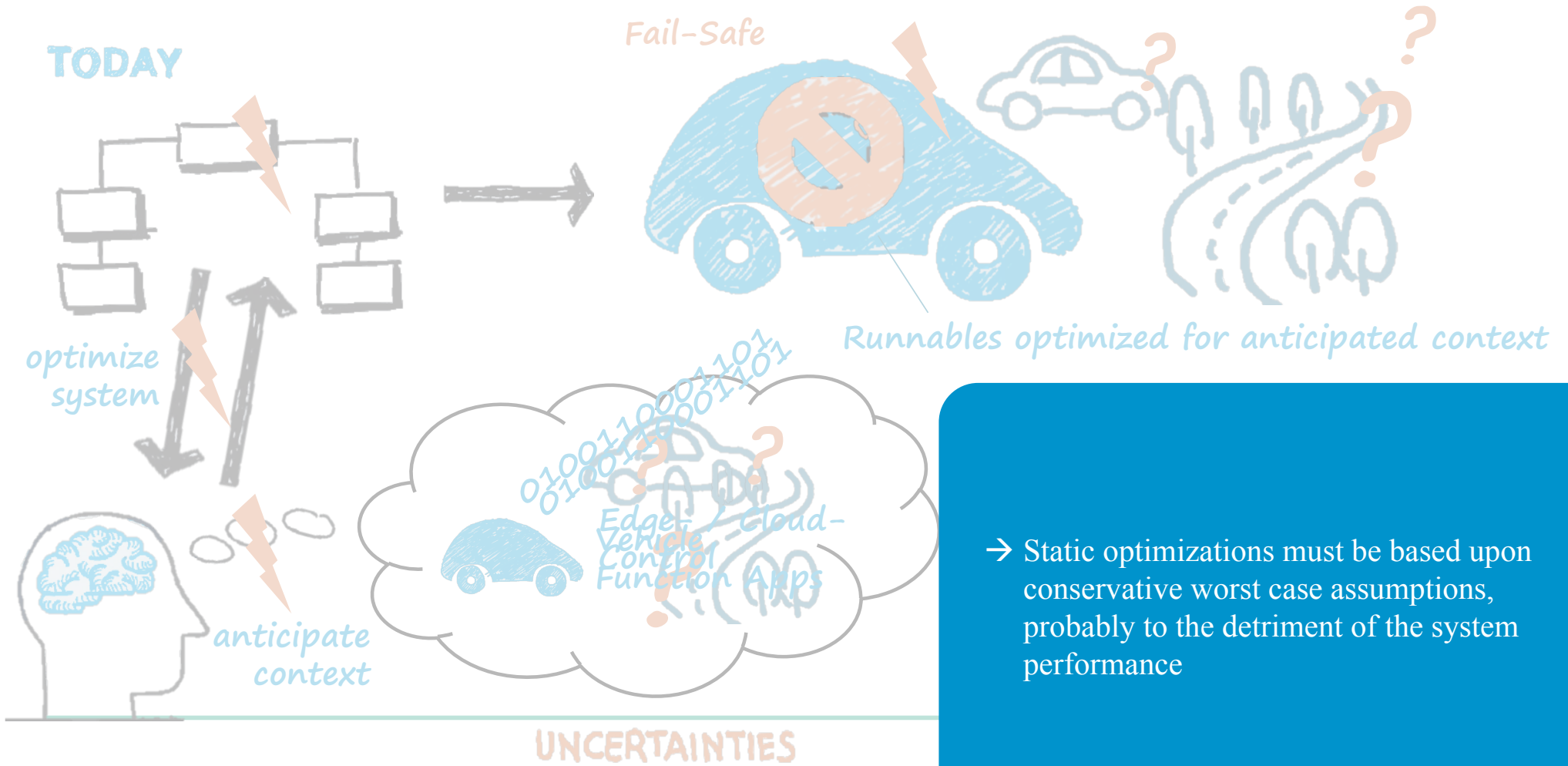
# A networked and automated world

Making this vision reality requires:

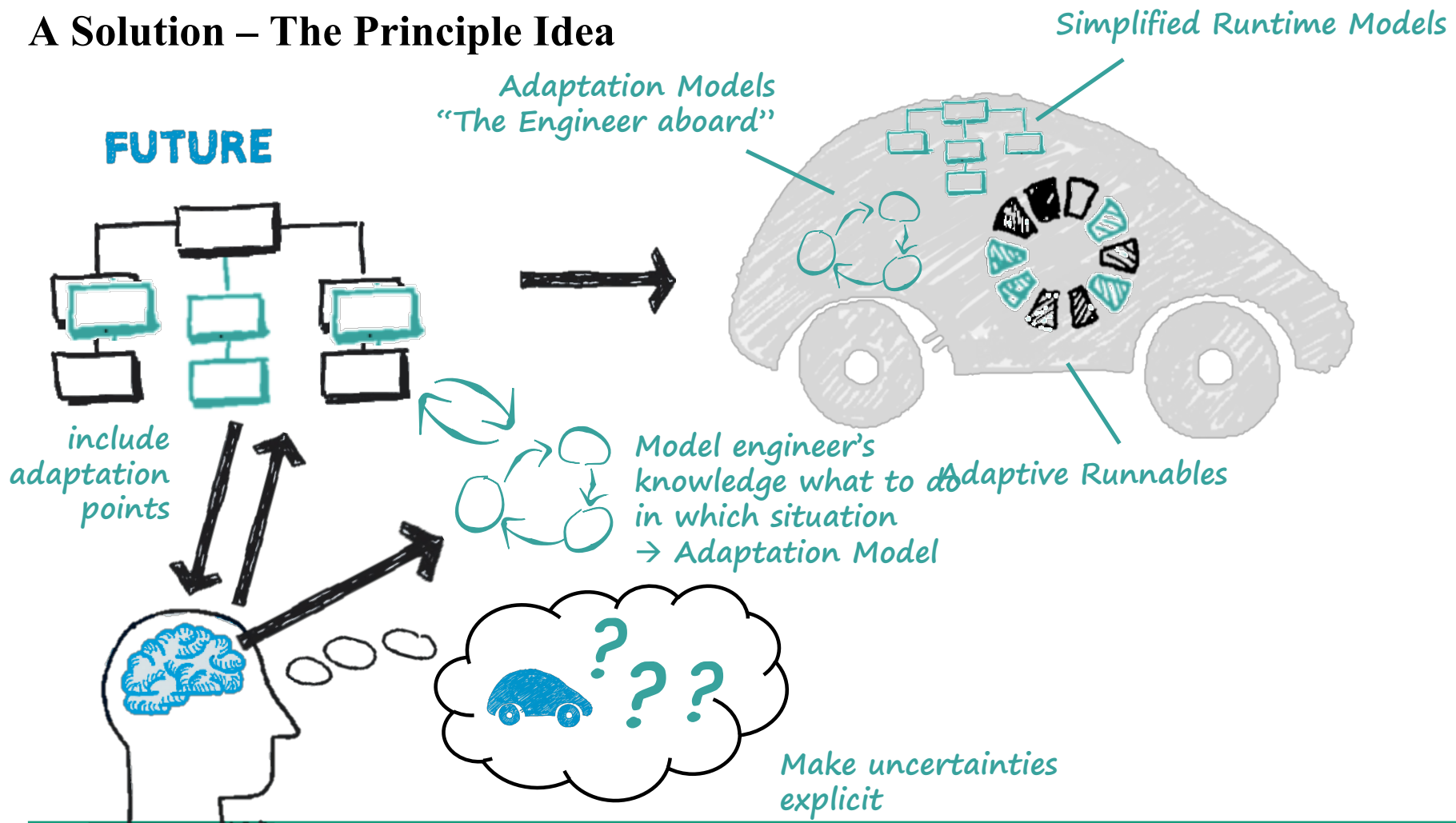
**Autonomy** – humans incapable to manually control this

**Openness** – systems need to be open to exchange information and cooperate

# The Challenge – From an Engineering Point of View

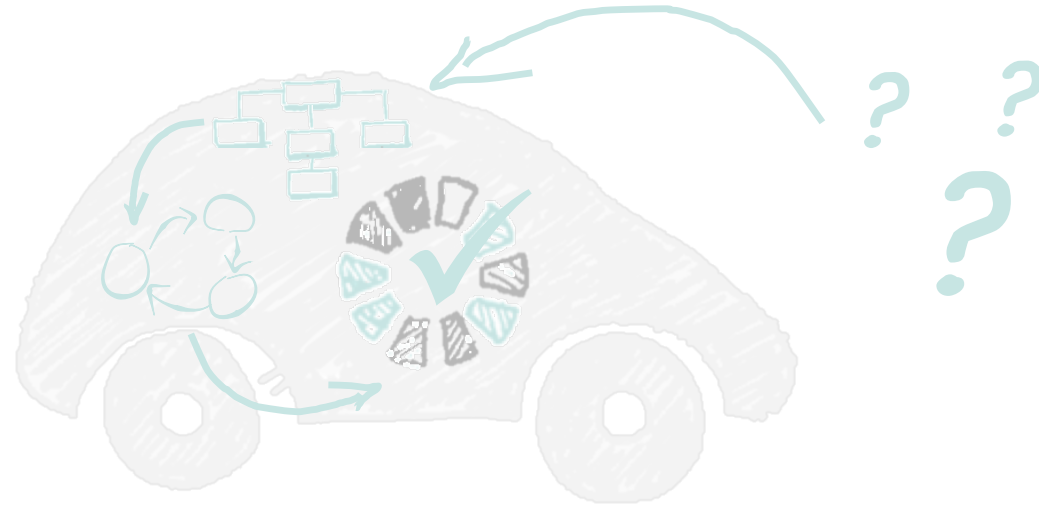


# A Solution – The Principle Idea





## A Solution – The Principle Idea



1. Monitor context
  2. Reflect context and state in runtime models
  3. “Artificial Onboard Dependability Engineer” decides what needs to be done in a concrete situation based on runtime models and adaptation models (assurability)
  4. Adapt System (fault-tolerance, graceful degradation, fail-operational)
- ➔ Dynamic Dependability Management
  - ➔ Resilient Architecture

# Dependability Awareness

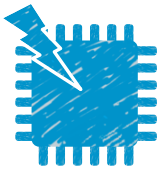
## Information



External Context



Check Results



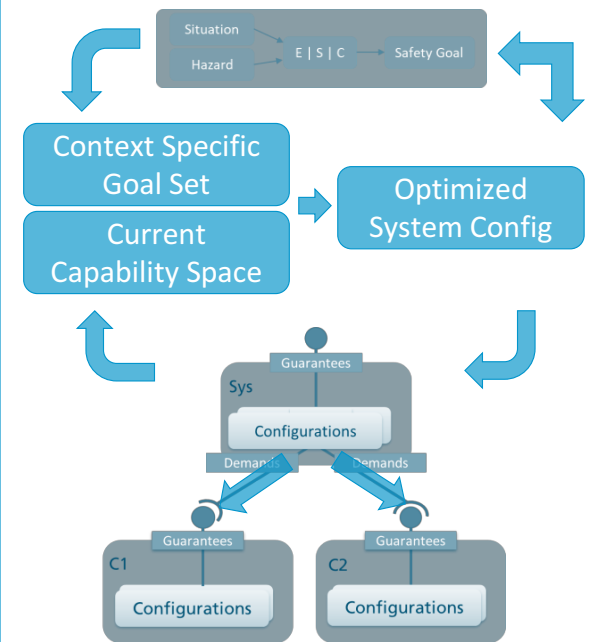
Internal Errors

## Knowledge



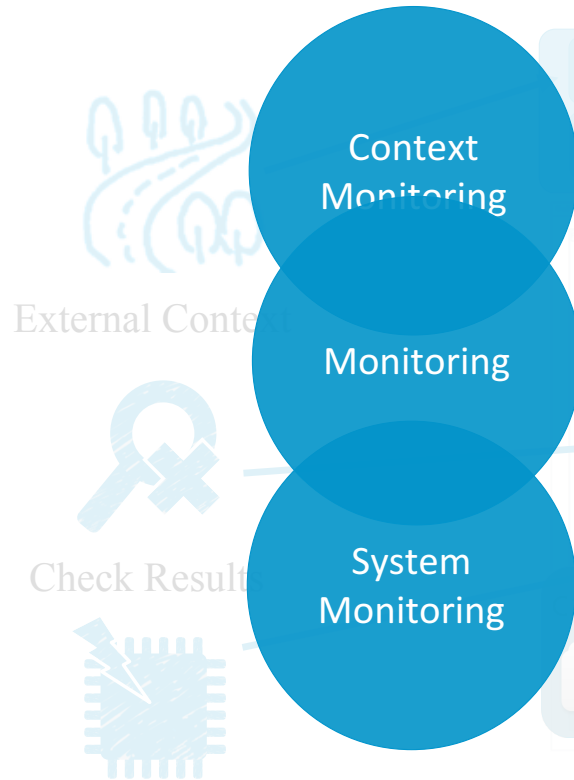
Safety Model @ Runtime

## Conscious Management

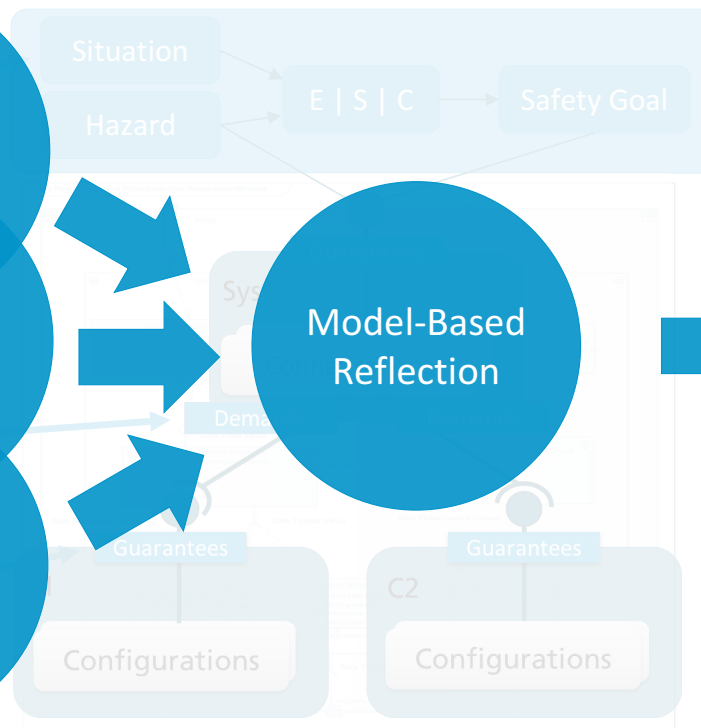


# Dependability Awareness

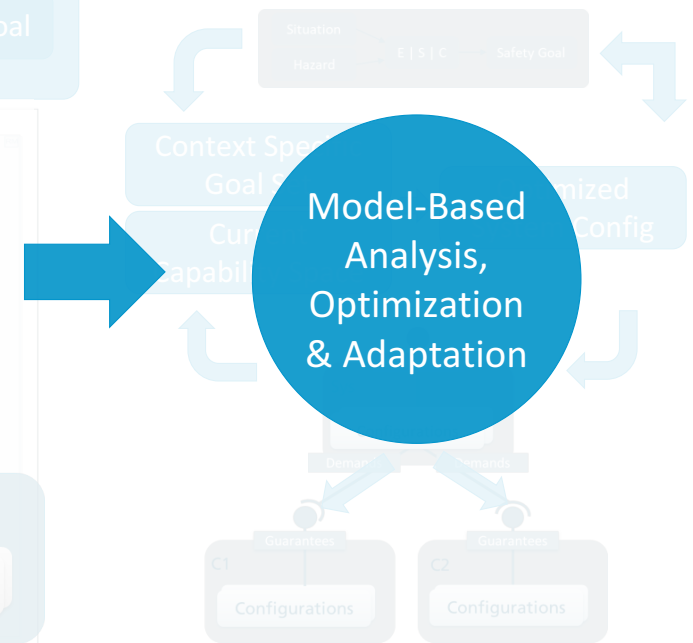
## Information



## Knowledge



## Conscious Management



Internal Errors

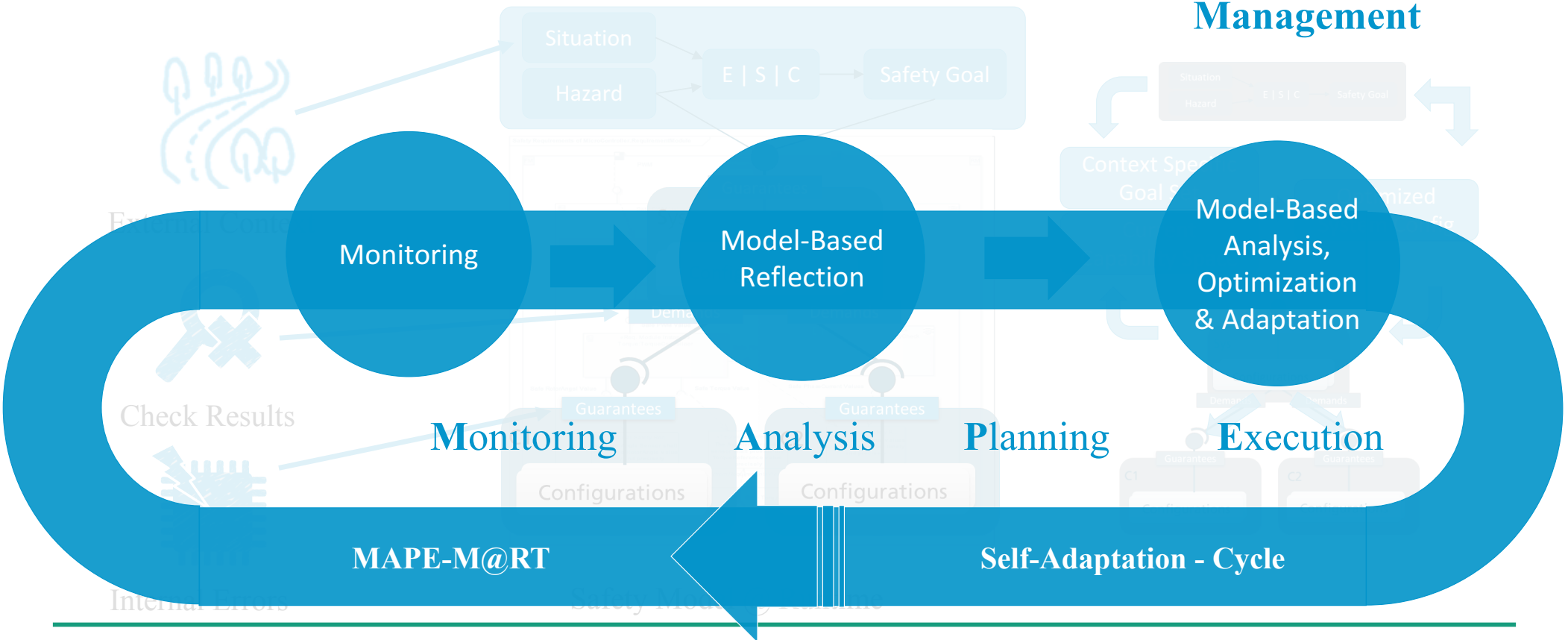
Safety Model @ Runtime

# Dependability Awareness

Information

Knowledge

Conscious Management



# How to: (runtime) models for dependability management

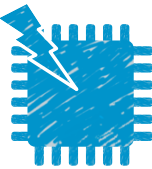
## Information



External Context

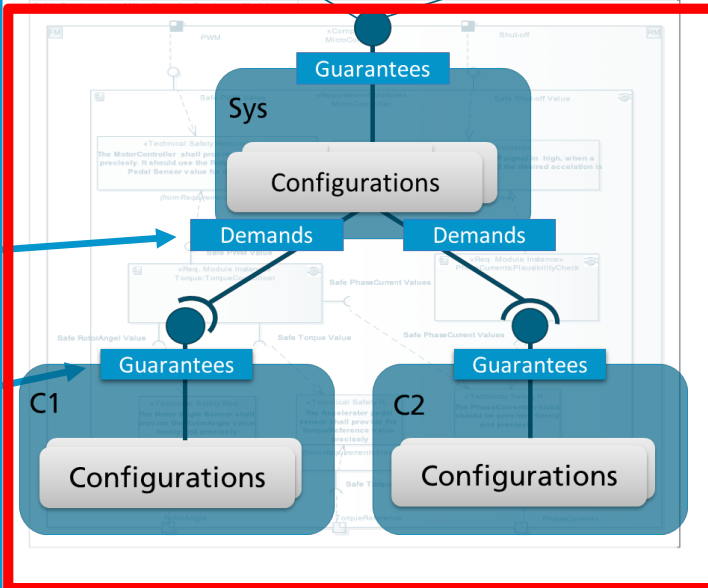
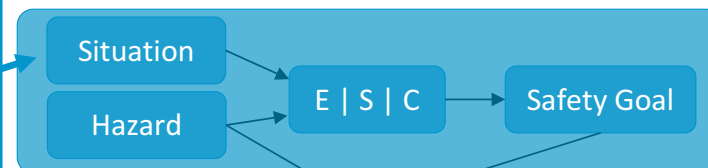


Check Results



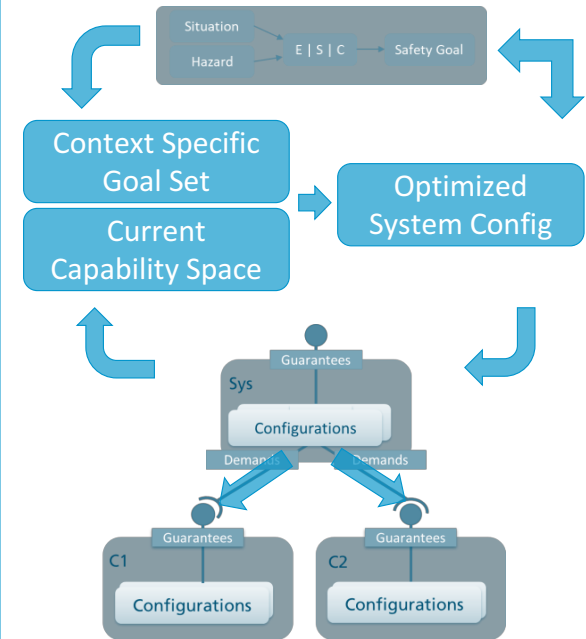
Internal Errors

## Knowledge



Safety Model @ Runtime

## Conscious Management





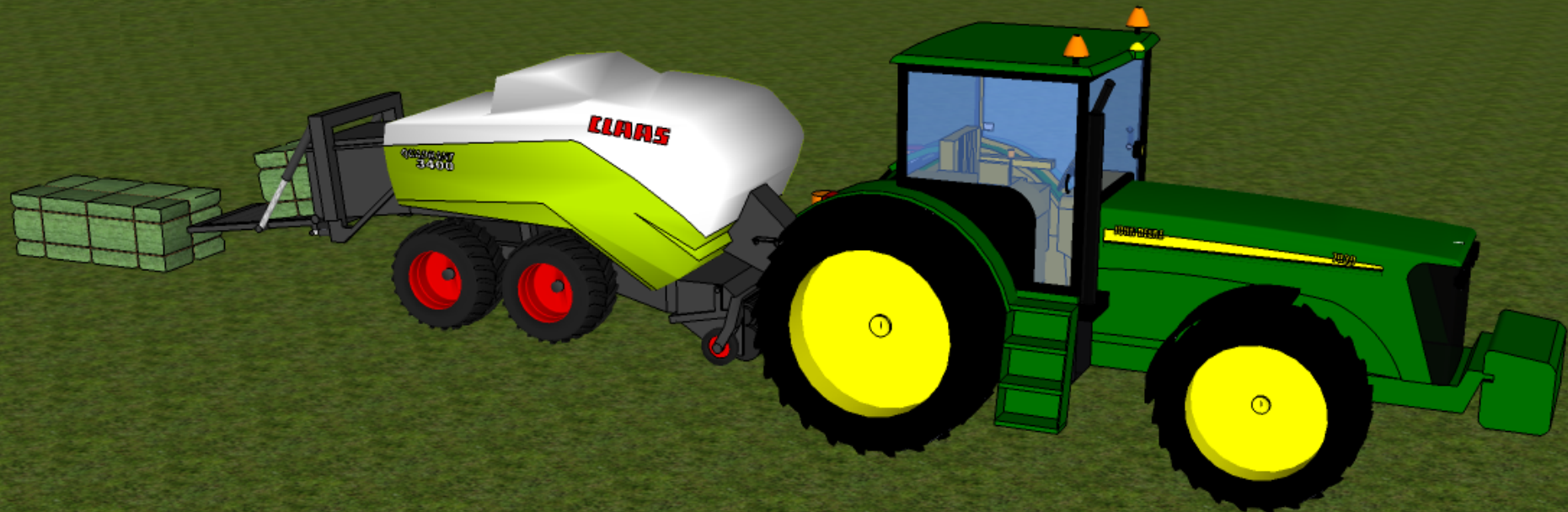
# ConSerts Overview

Constituent 1: Safety Modularization

Constituent 2: SM@RT

Constituent 3: System Model

Constituent 4: Safety  
Engineering Backbone



# ConSerts Overview – Dynamic Hierarchies

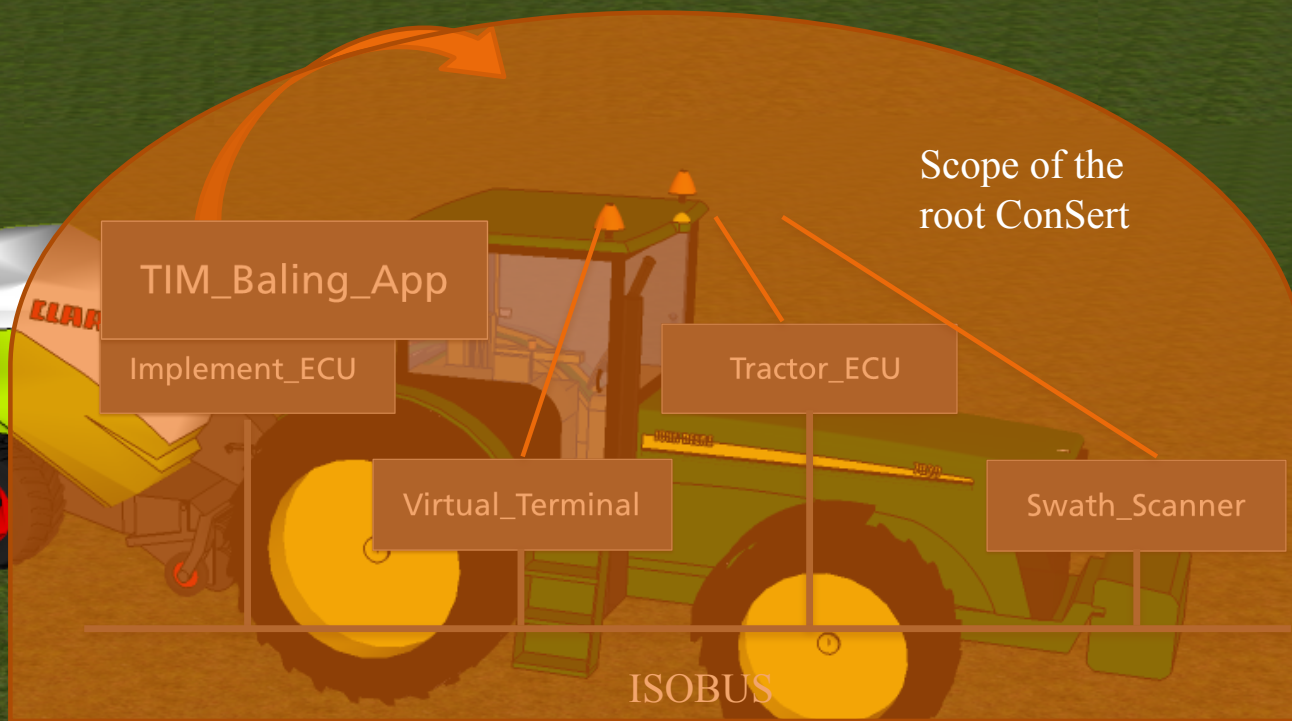
Constituent 1: Safety Modularization

Constituent 2: SM@RT

Constituent 3: System Model

Constituent 4: Safety Engineering Backbone

Physical Structure





# ConSerts Overview – Safety Modularization

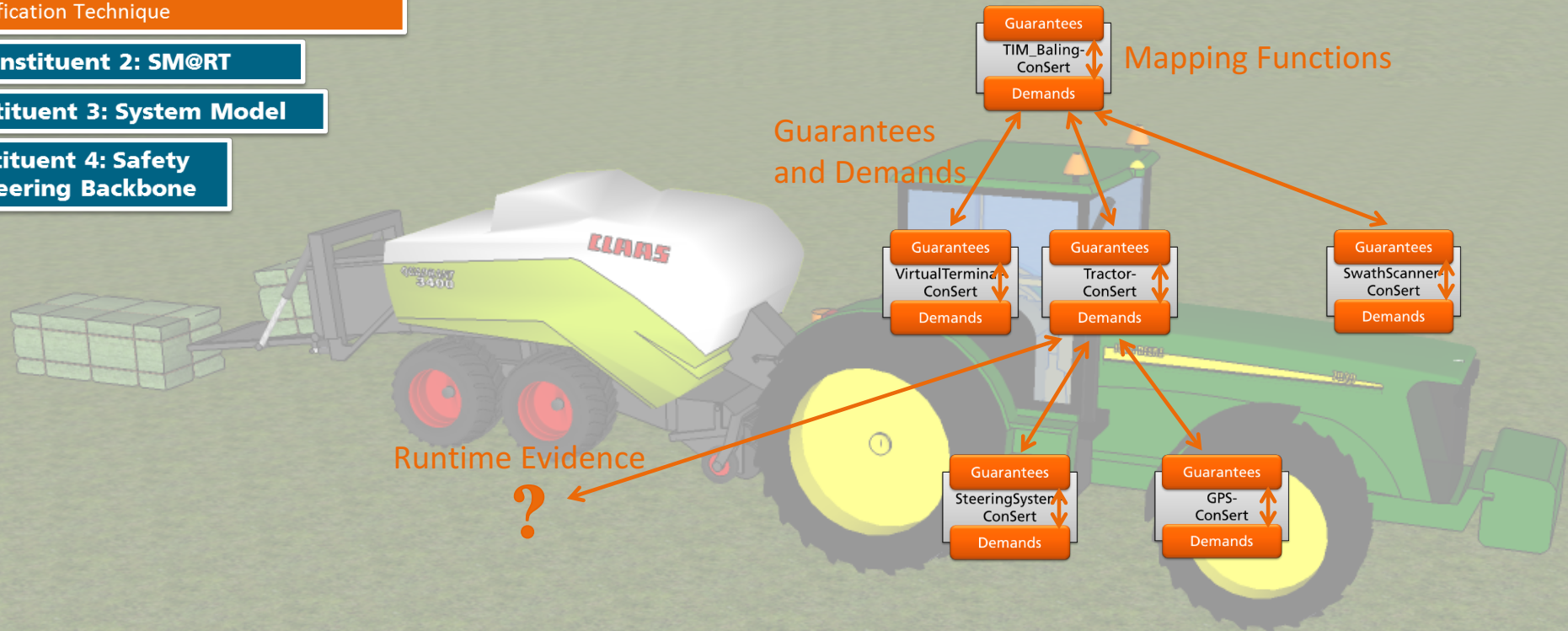
## Constituent 1: Safety Modularization

- Safety Guarantees and Demands
- Runtime Evidences
- Mapping Functions
- Specification Technique

## Constituent 2: SM@RT

## Constituent 3: System Model

## Constituent 4: Safety Engineering Backbone



# ConSerts Overview – SM@RT

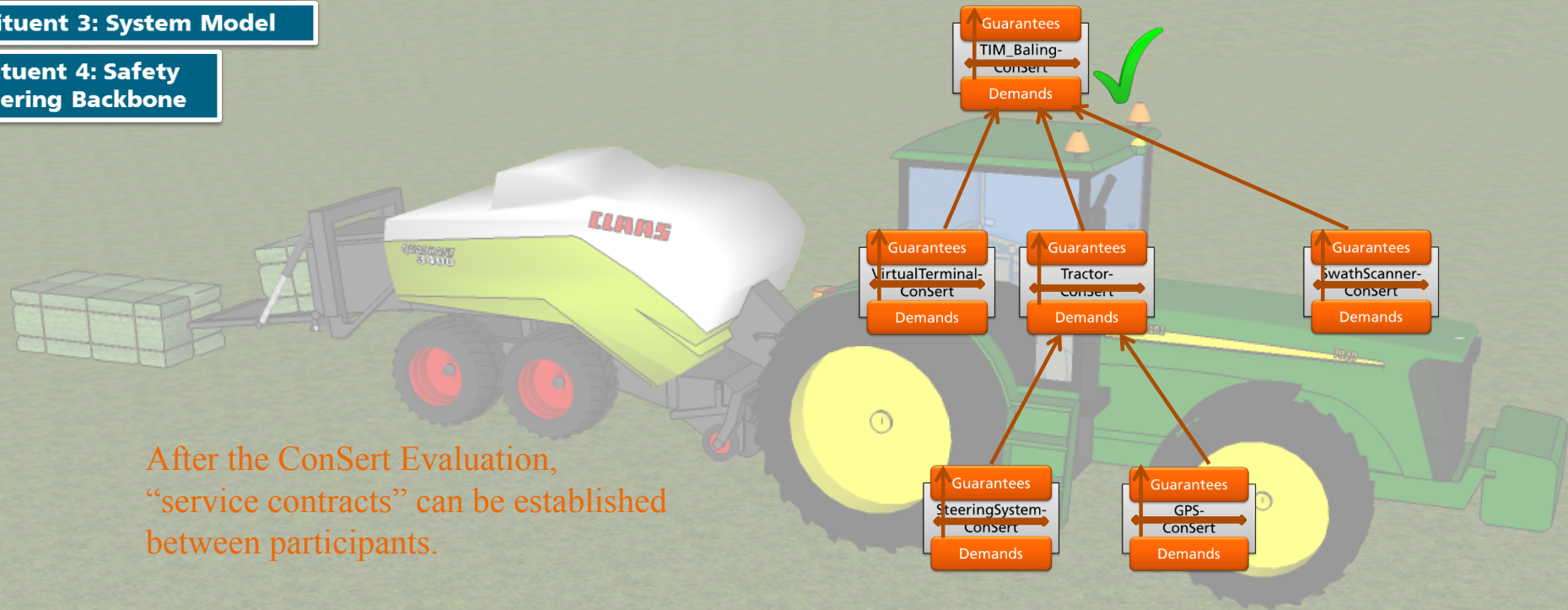
**Constituent 1: Safety Modularization**

**Constituent 2: SM@RT**

- Runtime Representation
- Runtime Evaluation

**Constituent 3: System Model**

**Constituent 4: Safety Engineering Backbone**



After the ConSert Evaluation,  
“service contracts” can be established  
between participants.



# ConSerts Overview – System Model

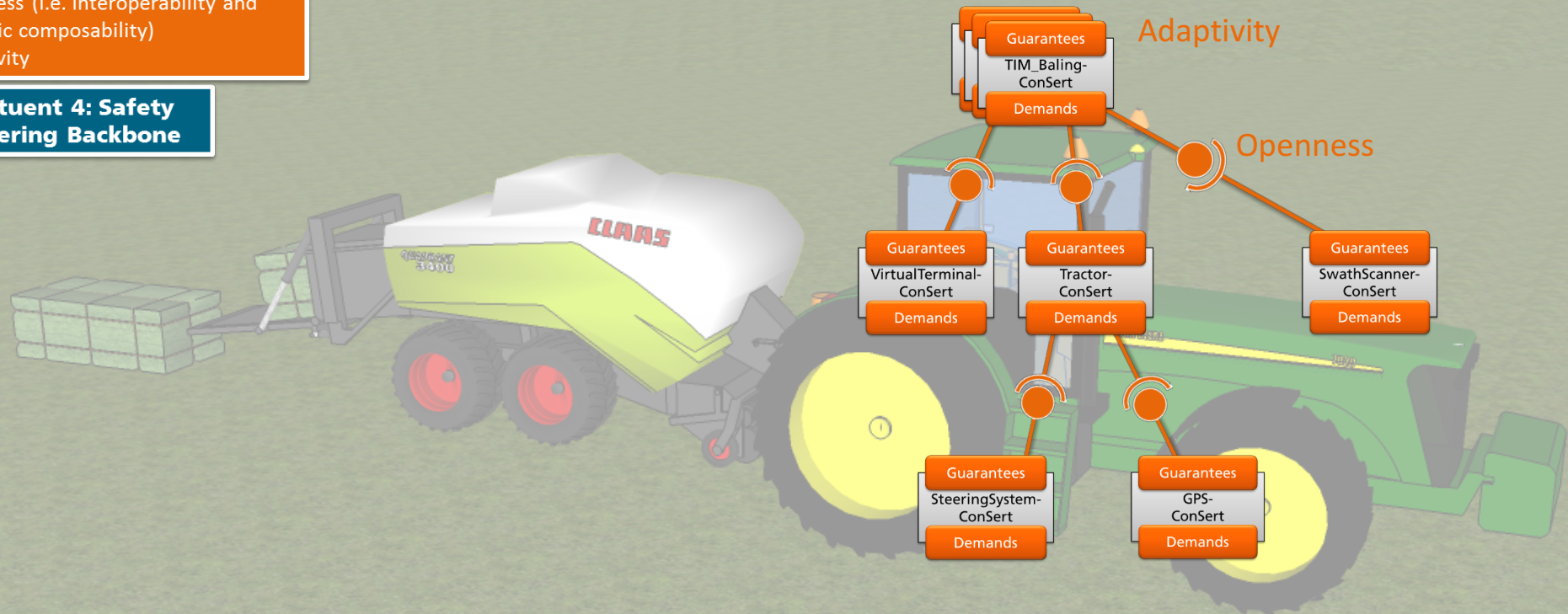
## Constituent 1: Safety Modularization

## Constituent 2: SM@RT

## Constituent 3: System Model

- Openness (i.e. Interoperability and dynamic composability)
- Adaptivity

## Constituent 4: Safety Engineering Backbone





# ConSerts Overview – Engineering Backbone

**Constituent 1: Safety Modularization**

**Constituent 2: SM@RT**

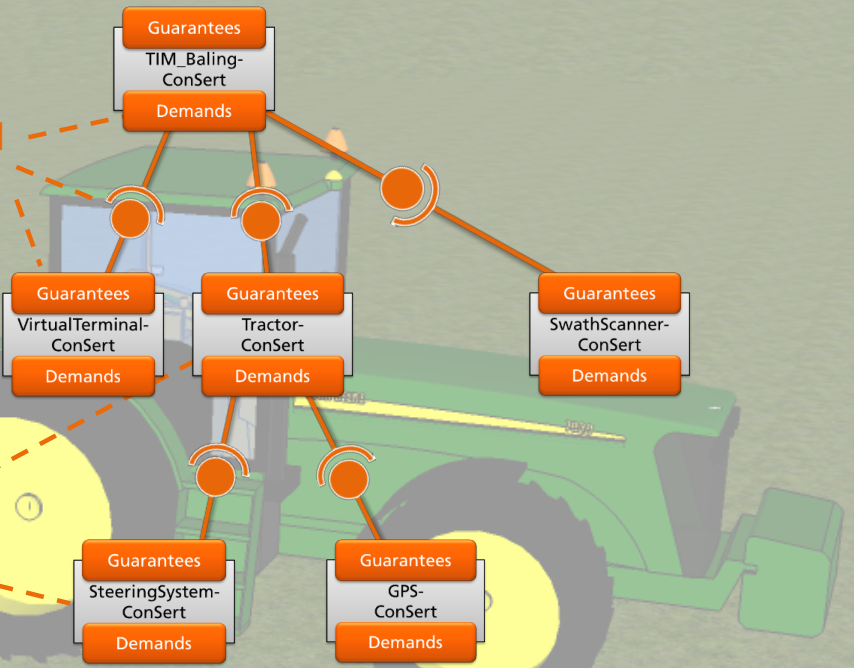
**Constituent 3: System Model**

**Constituent 4: Safety Engineering Backbone**

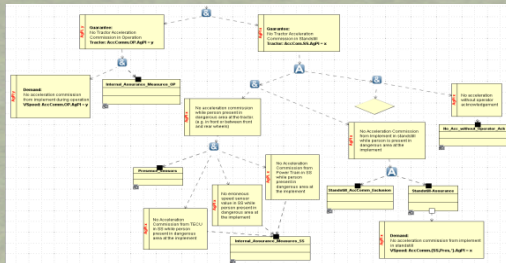
- Domain-Level Engineering
- System-Level Engineering

Domain-Level Engineering

No.	Interface Function	Guideword	Deviation from correct function (effect that is associated with the Guideword)	Possible Causes	Possible consequences in the TIA baling scenario
1	Auxiliary Valves – Valve state	Omission	No hydraulic flow even though it would be required	Communication failure. Sensor failure. ECU (SW) failure (tractor or implement).	Rear gate (of the round baler) does not open (or close) even though it must.
2		Commission	Hydraulic flow commissioned even though it is not wanted	Sensor failure. ECU (SW) failure (tractor or implement). VT failure. "Third party" device issuing unwarranted request.	Rear gate does open (or close) even though it must not.



T6.2 System-Level Safety&Security Co-Engineering



# How to: (runtime) models for dependability management

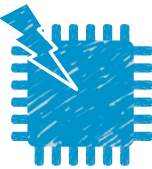
## Information



External Context

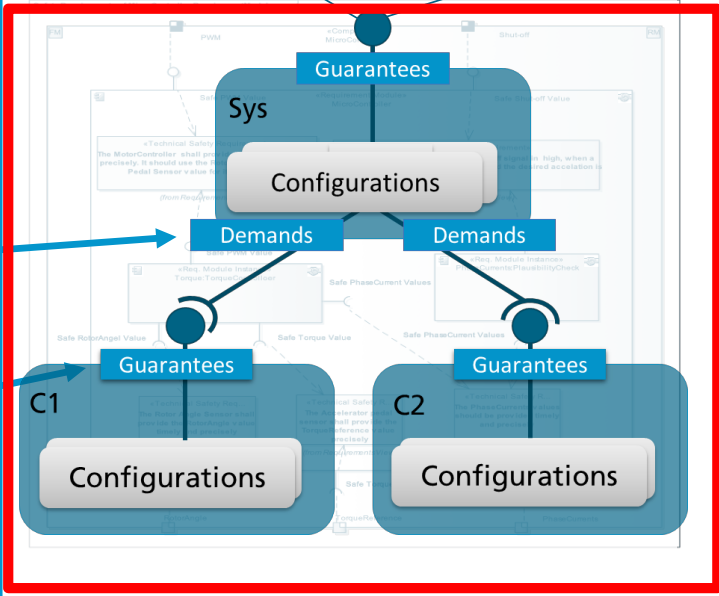
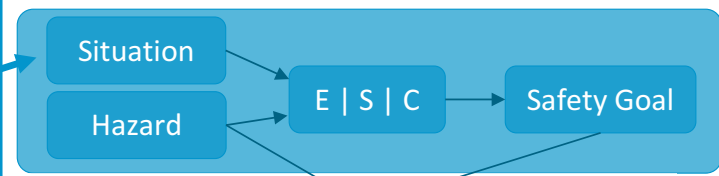


Check Results



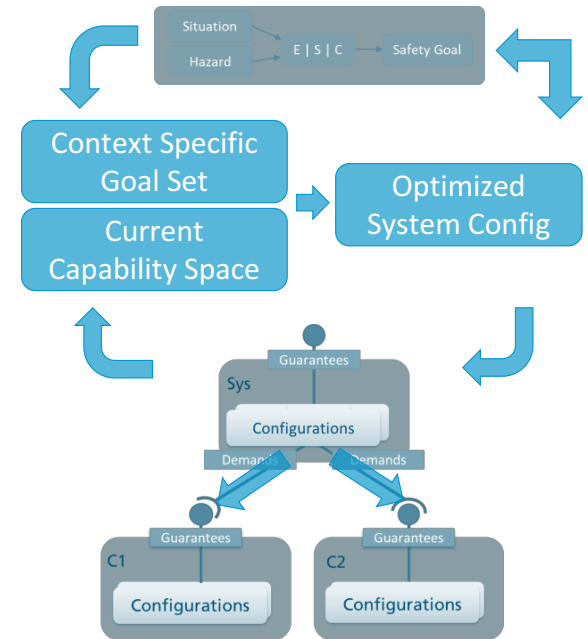
Internal Errors

## Knowledge



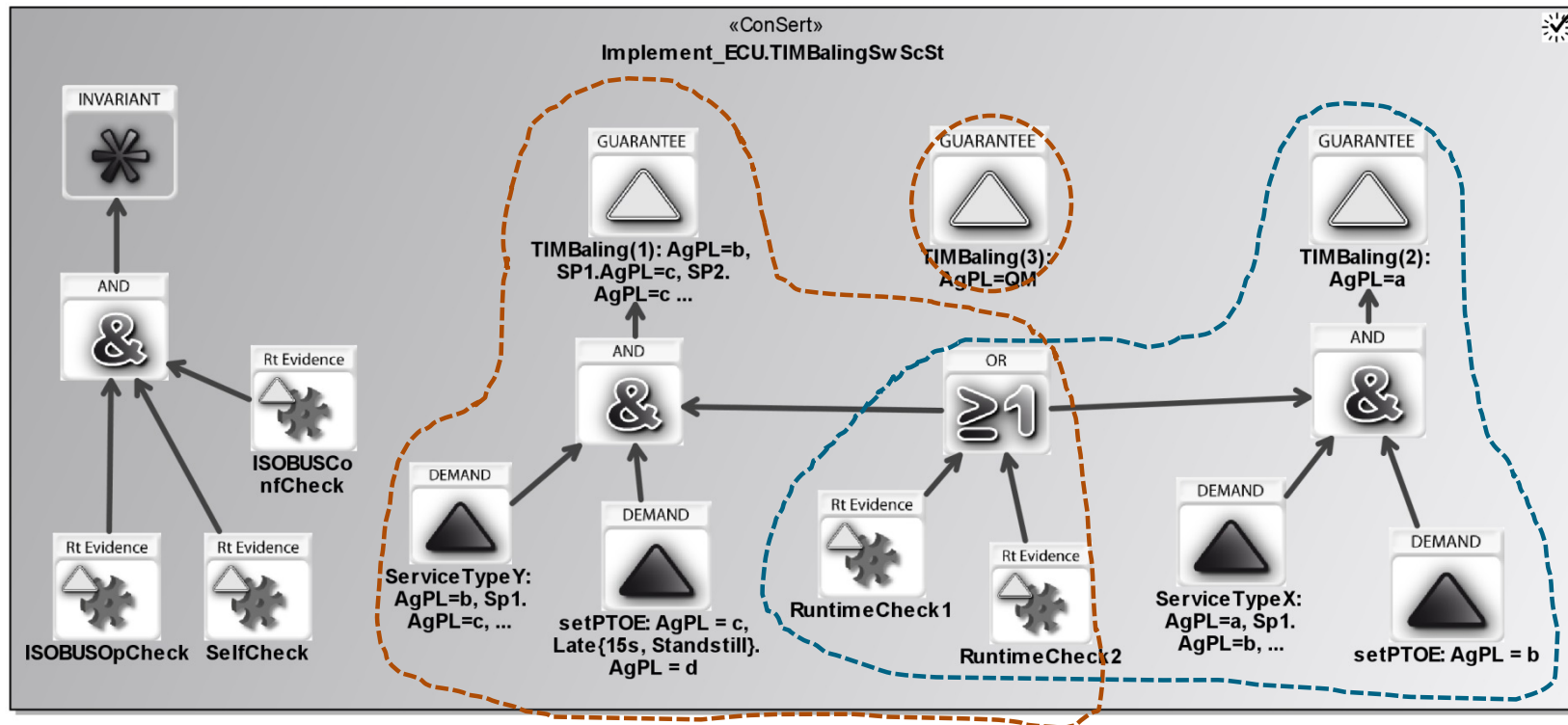
Safety Model @ Runtime

## Conscious Management

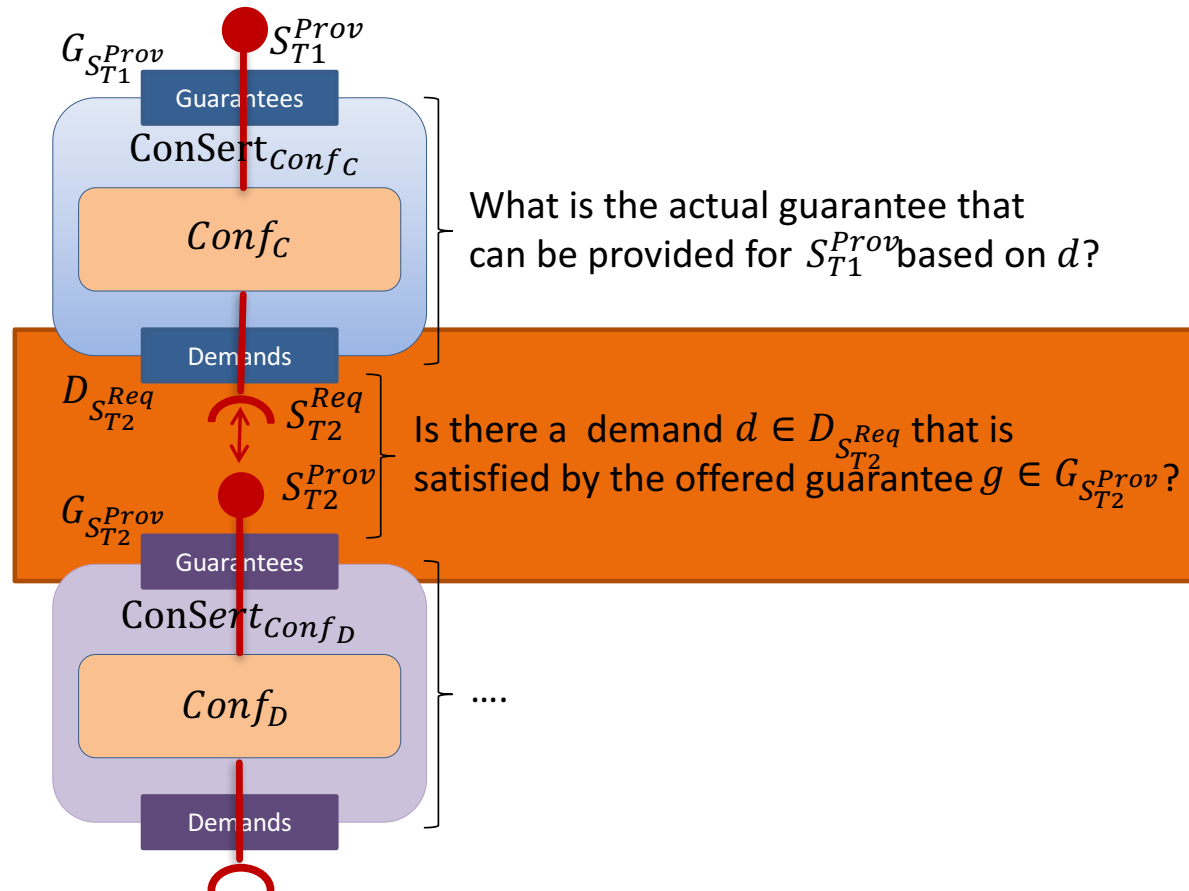


# Specification of ConSerts

- For each potential safety guarantee of each provided service, there is a separate ConSert Tree (CST)

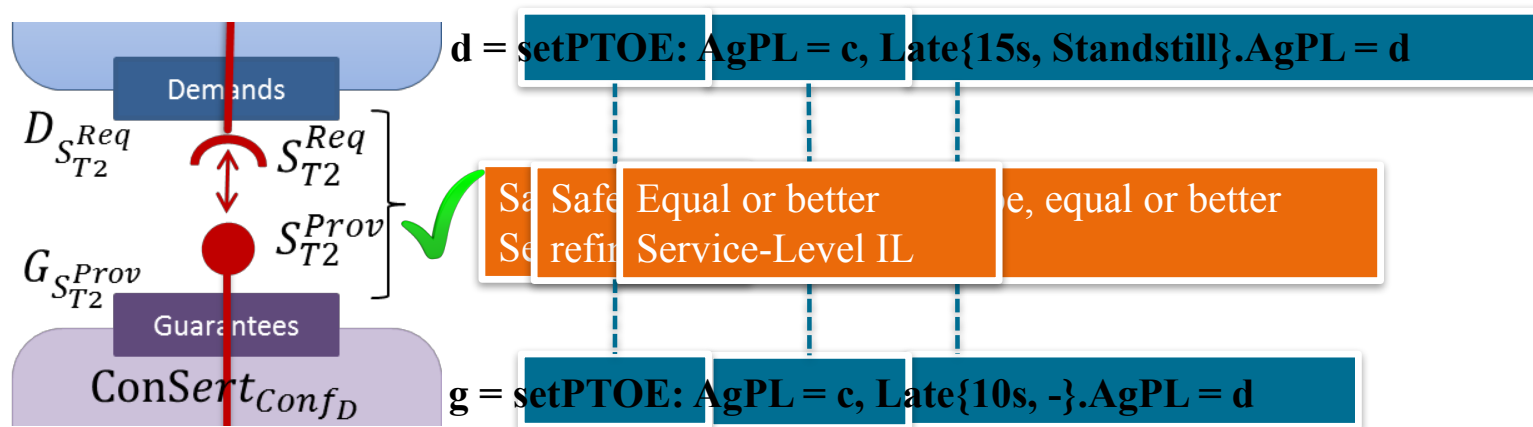


# Matching and Mapping of Guarantees and Demands



# Matching of Guarantees and Demands

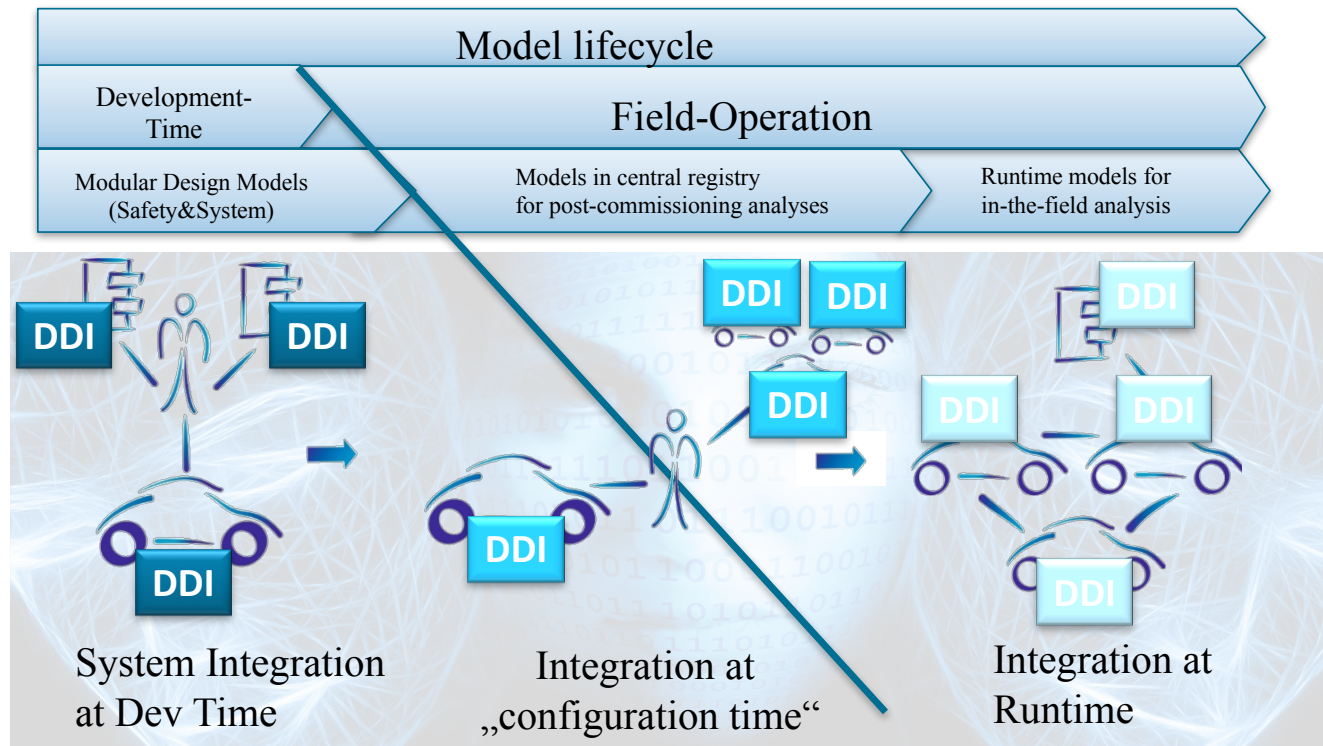
- When is a demand satisfied by a guarantee?





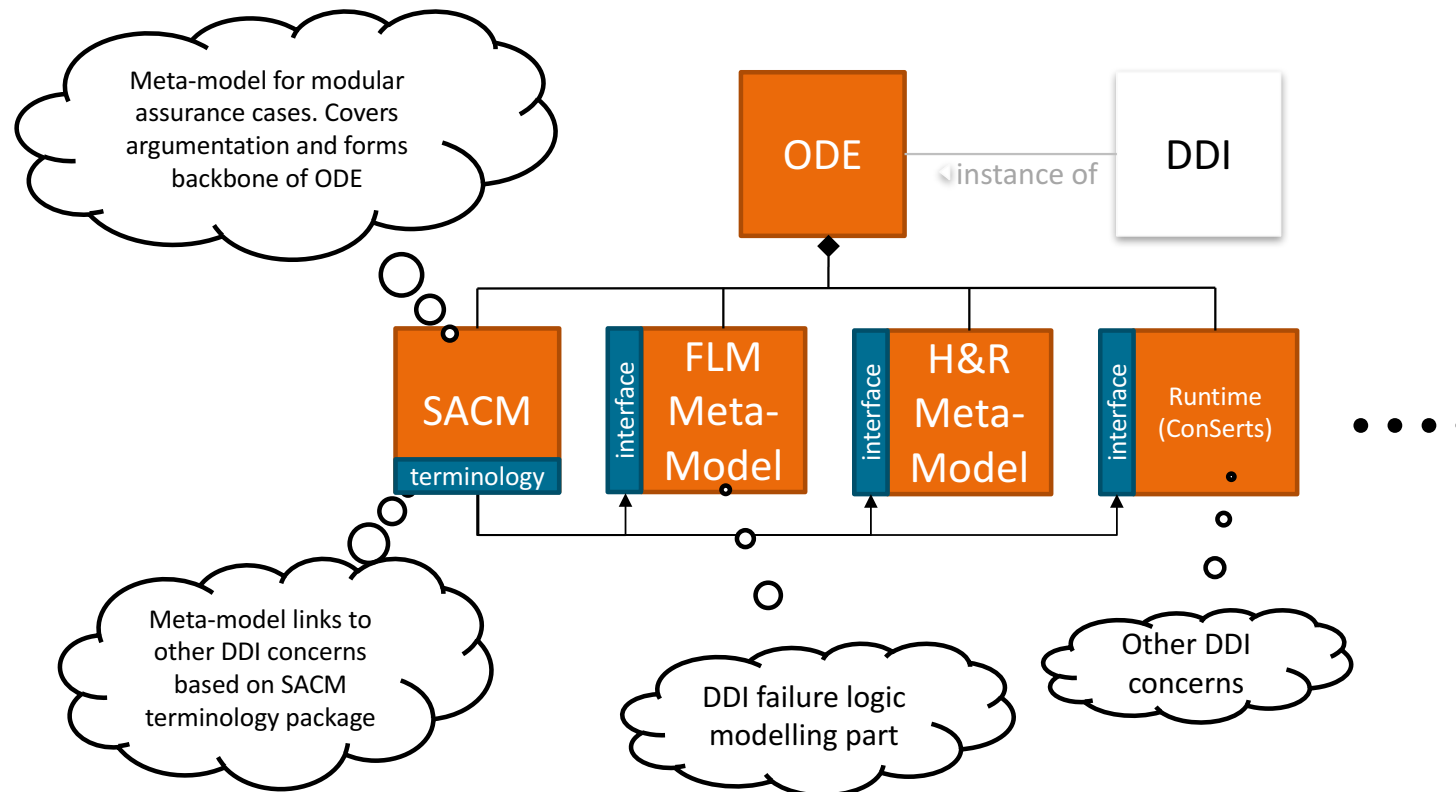
# --- The DEIS Project ---

# DEIS Project: Digital Dependability Identity

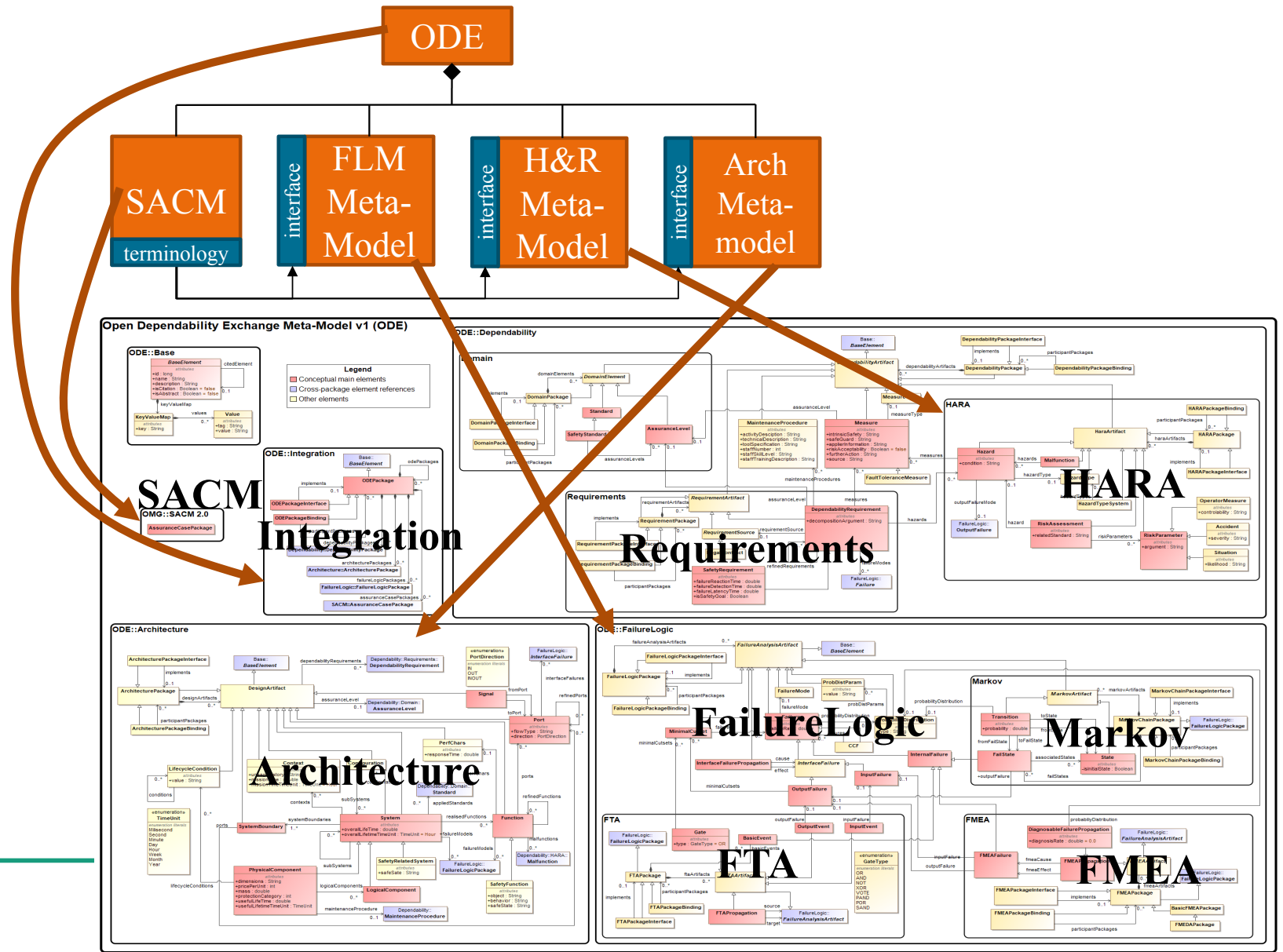


DDI DDI DDI Color encodes level of abstraction /amount of information

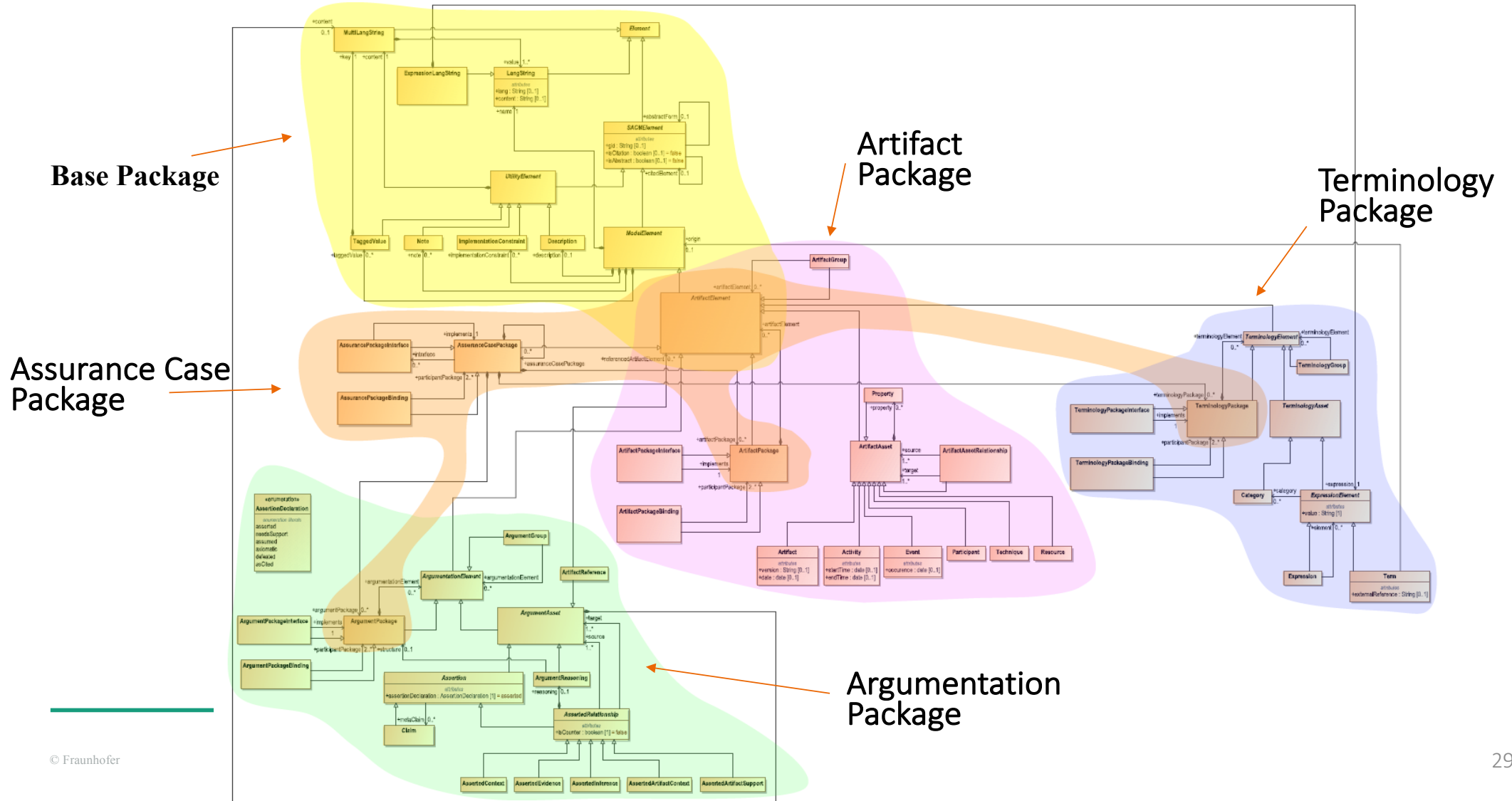
# DDI Meta-Model (Open Dependability Exchange (ODE))



# ODE v1

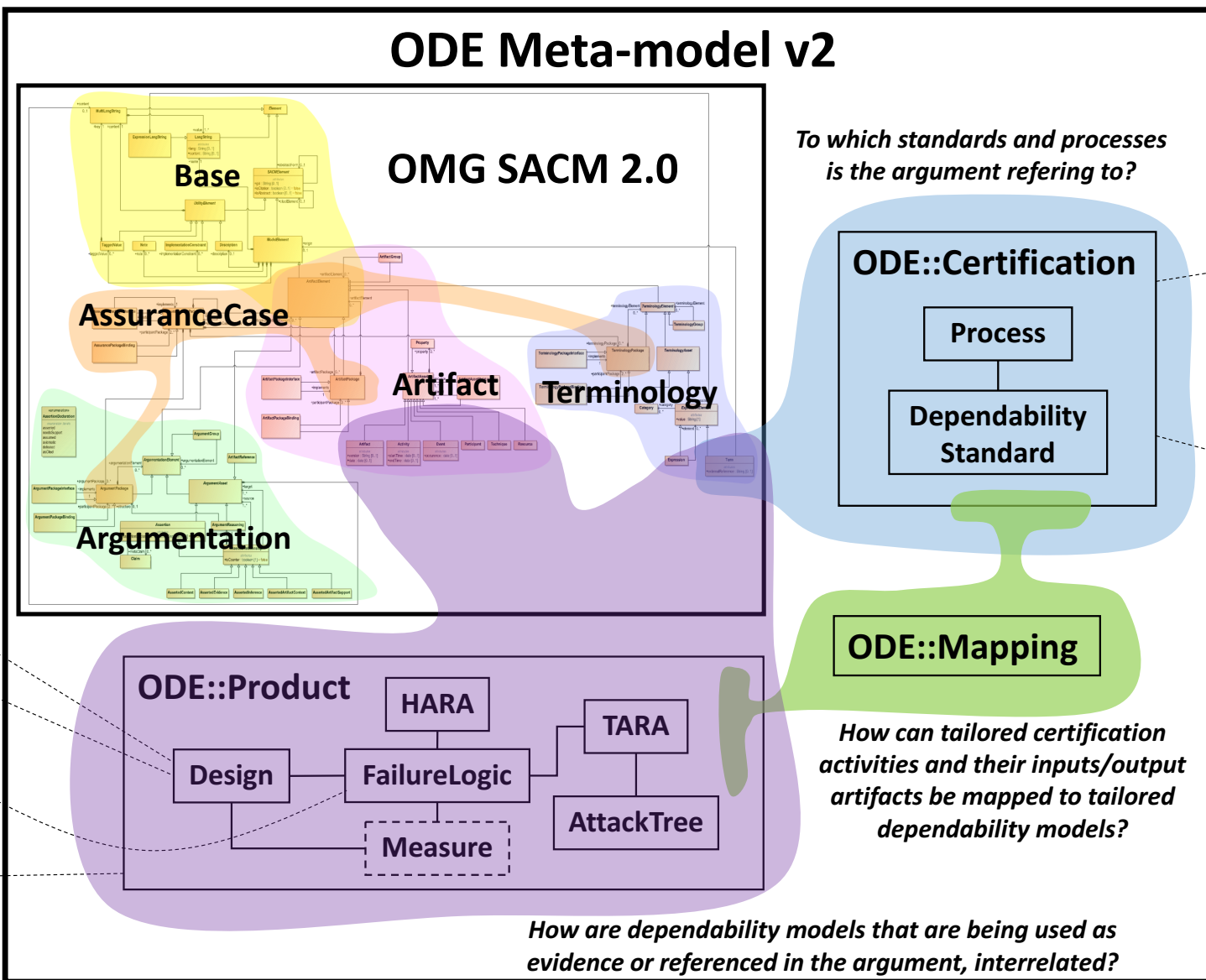


# SACM 2.0 metamodel





# ODE Meta-model v2



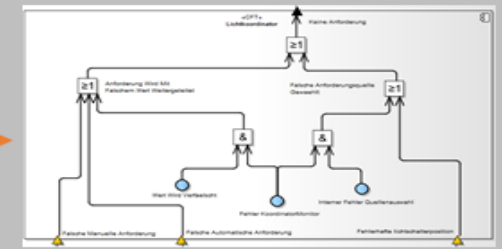
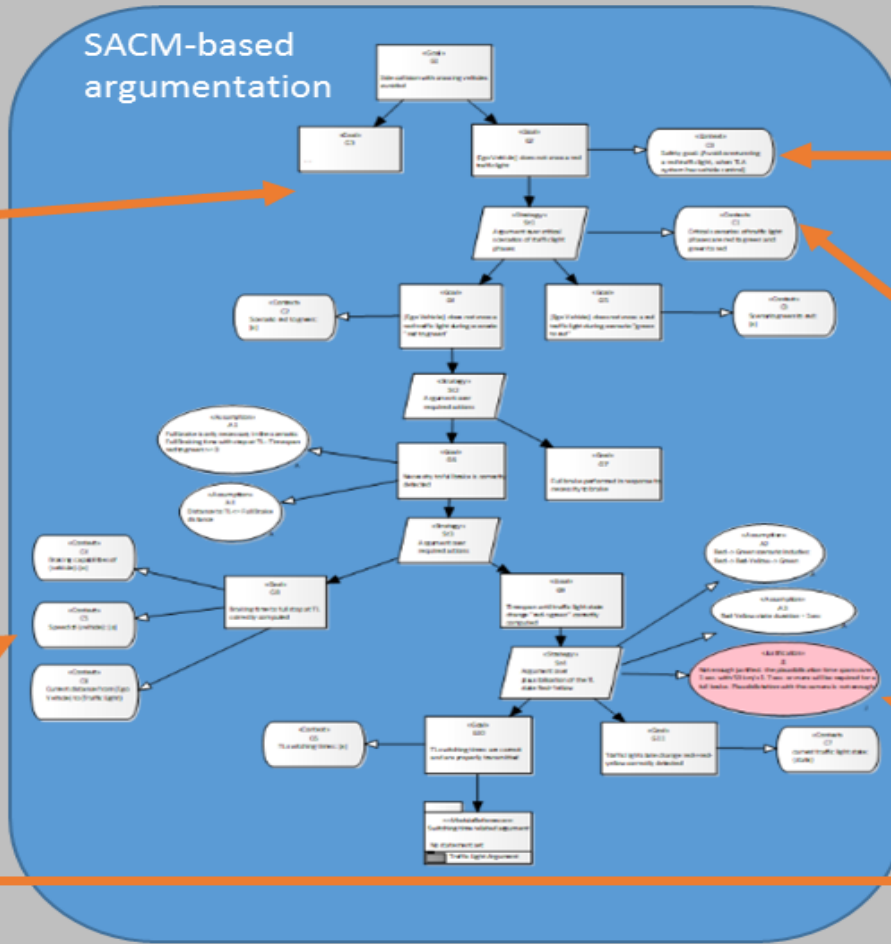
SafeML 1.1  
Open Safety Meta-Model

OMG SPeM 2.0  
Common Assurance and Certification Metamodel (CACM) by OPENCOSS/ AMASS

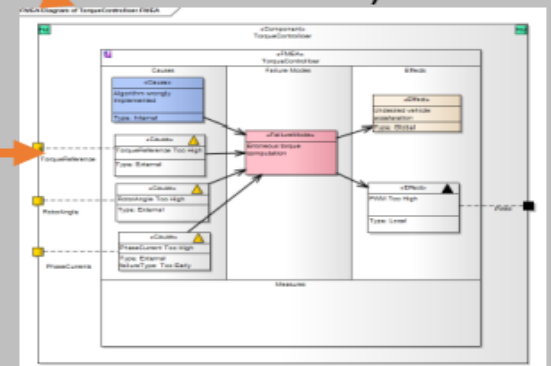
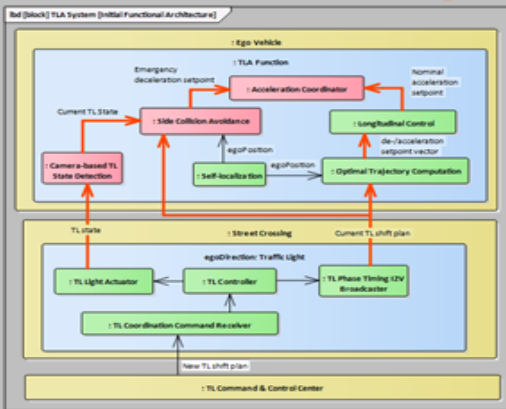
# SACM-based argumentation



Functional/logical/technical system design (SysML)

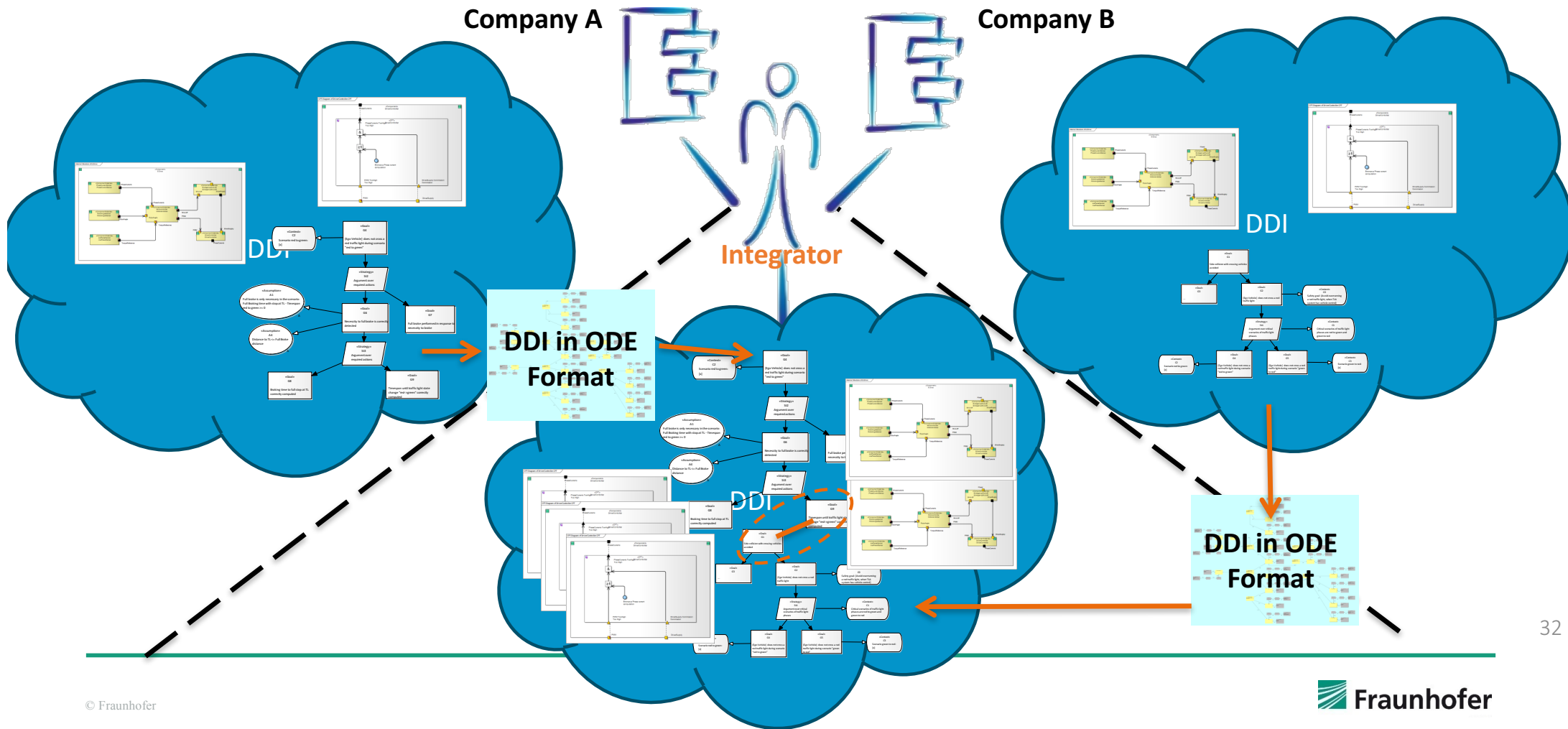


Modular component-integrated failure modeling (C<sup>2</sup>FT, Markov Chain, FMEA)



# DDI

# Development Time DDI Use Case



--- (Do Not) Trust in Ecosystems---

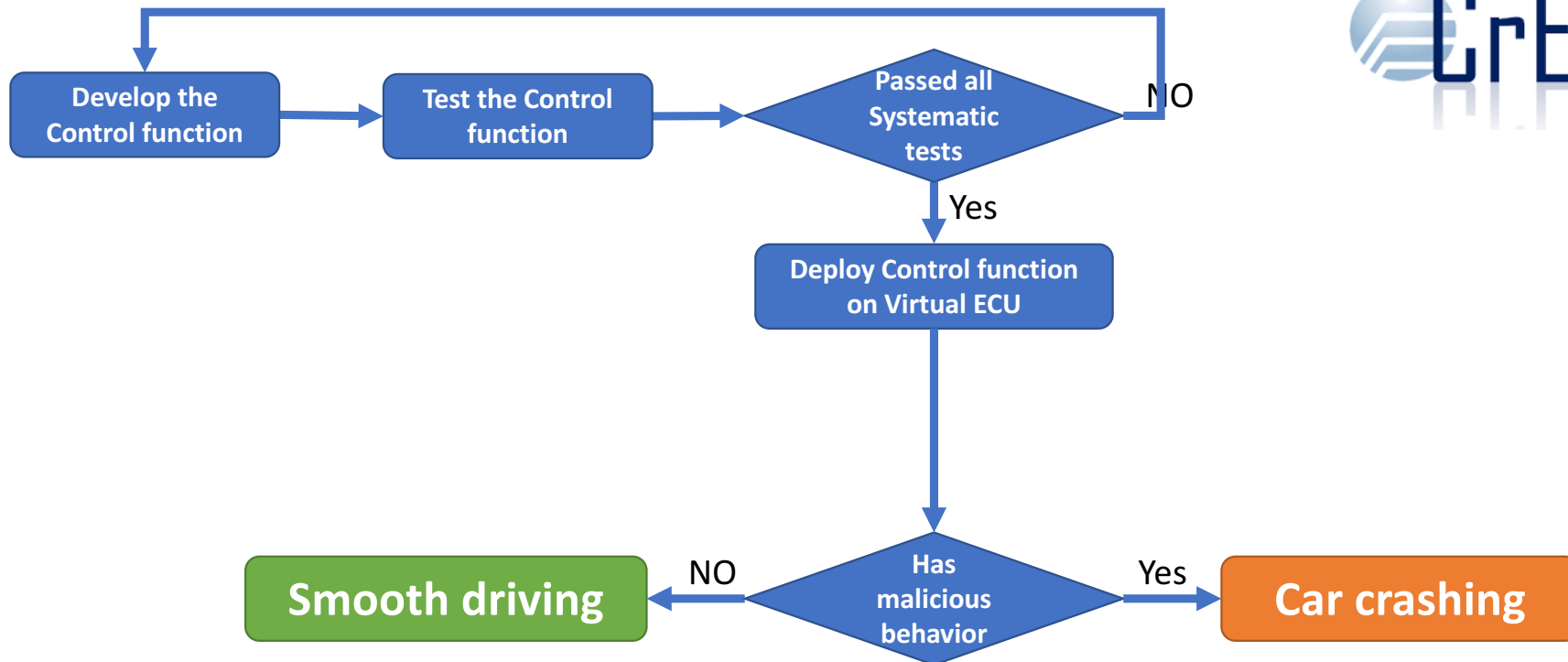


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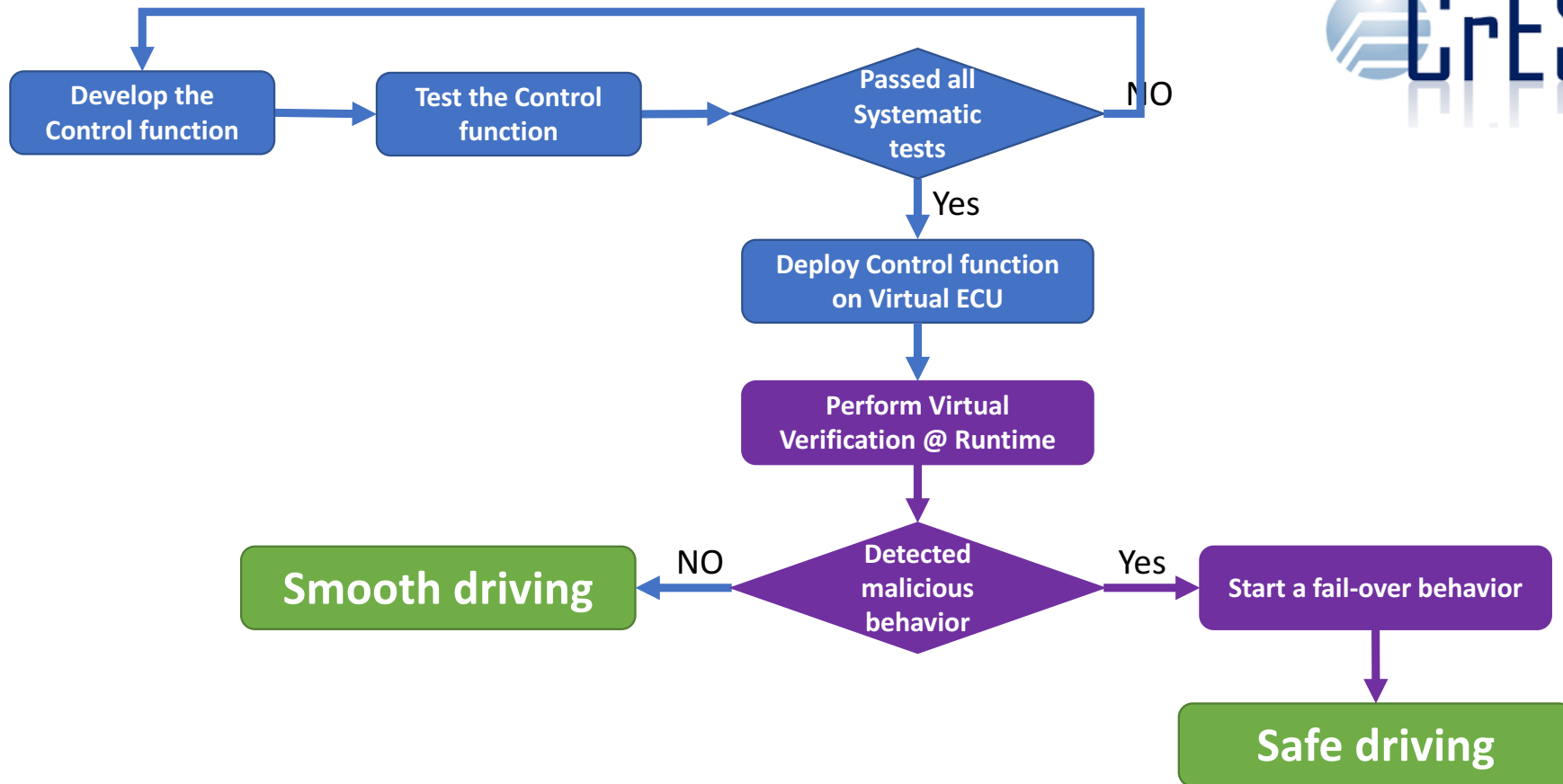
# TOWARDS BUILDING TRUST

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- Ecosystems are not formed from scratch,
  - Download of Smart Agents
  - Verification of Smart Agents
    - Requires code execution on the ECU
- Evaluation of DT of the Control Algorithm
  - Build Reputation and Trust based on DT evaluation



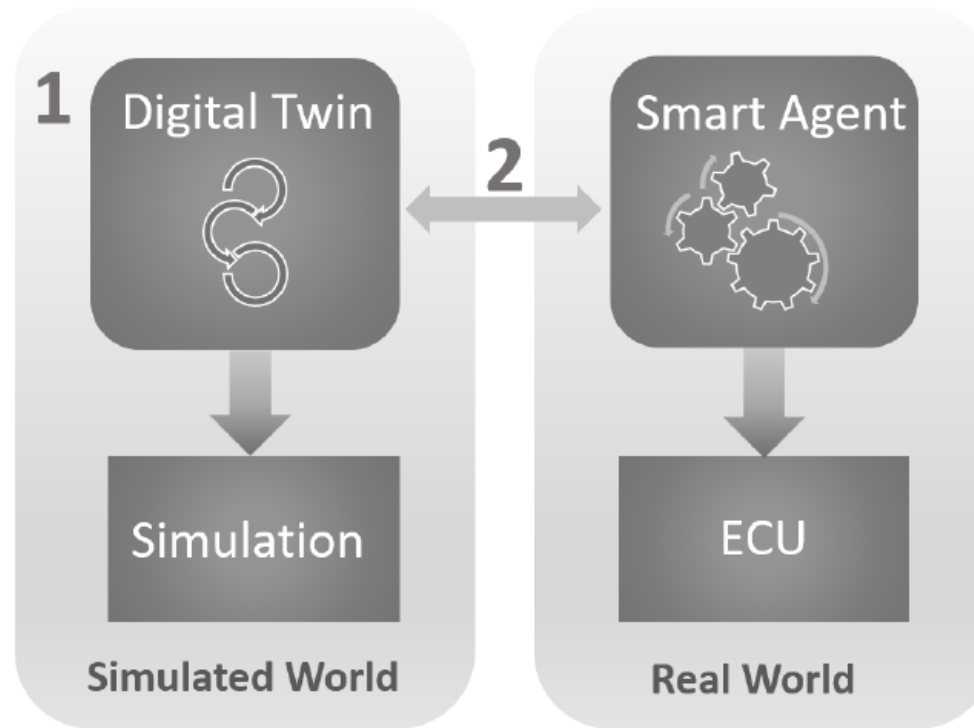
- Agent contains malicious code that causes car to run into another car with low probability.
- Systematic testing is not enough, verification through multiple execution of behavior is needed.



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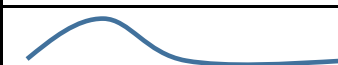
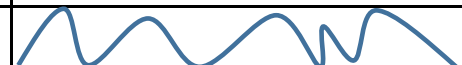

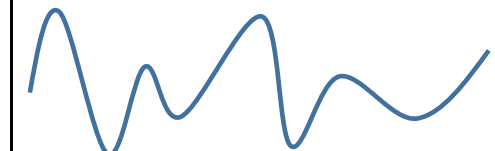

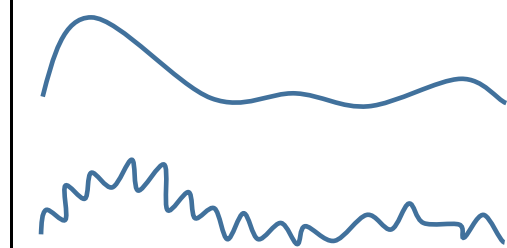
# METHOD FOR BUILDING TRUST

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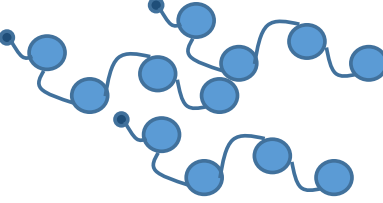
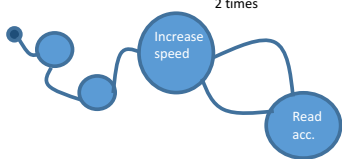
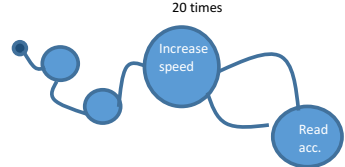
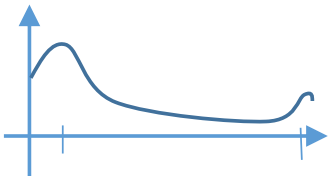
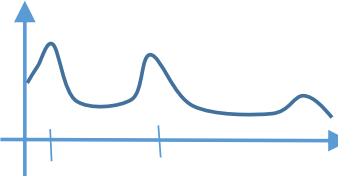


# Technical Challenges

Input values linked to	Source of problem	Real World	Virtual World
Sensor Data	1. Frequency		
	2. Value Range		
Can Bus Signals	3. Noise		

# Technical Challenges

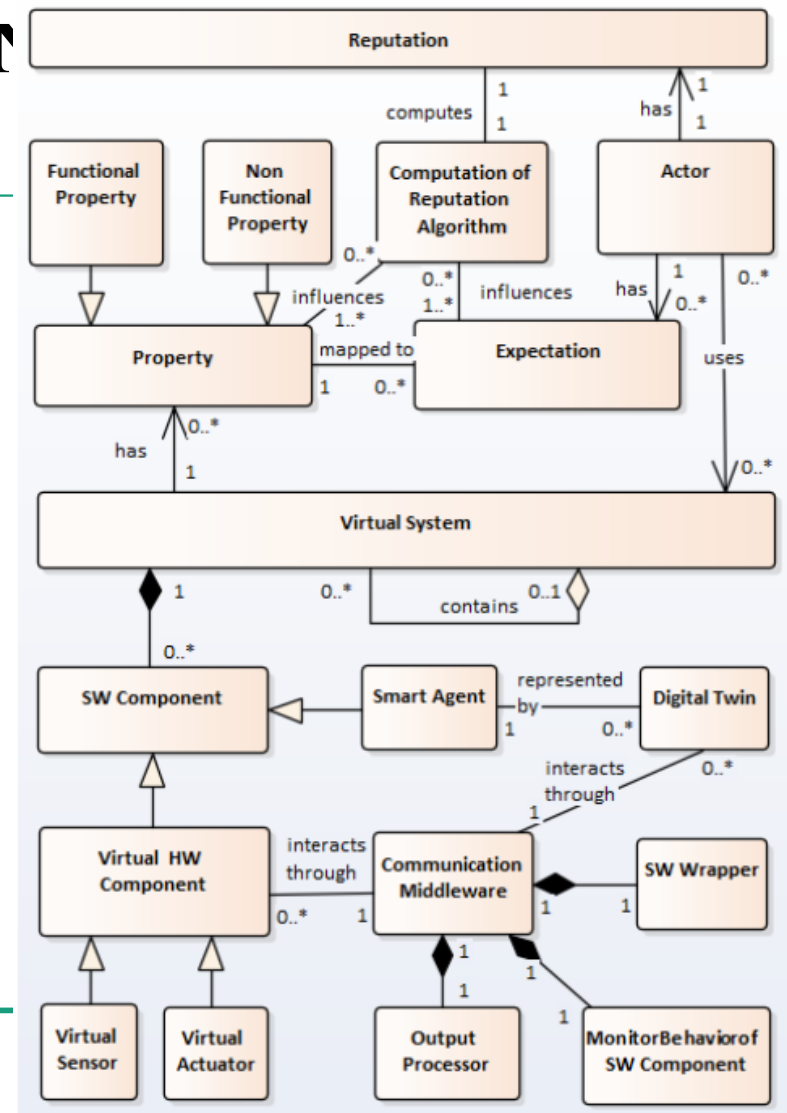
Deployment of SWCuE linked to	Source of problem	Real World	Virtual World
System Architecture	4. Abstraction Problem	 A block diagram representing a real-world system architecture. It consists of five blue rectangular blocks connected by blue lines. On the left, there are three blocks in a horizontal line. A line from the rightmost of these three blocks goes down and then right to a fourth block positioned below the middle block of the top row. A line from the rightmost of these four blocks goes up and then right to a large blue block on the far right labeled 'SWCuE'. Two smaller blue blocks are positioned between the 'SWCuE' block and the fourth block, with lines connecting them to both.	 A block diagram representing a virtual world system architecture. It consists of five rectangular blocks connected by blue lines. On the left, there are three light blue rectangular blocks in a horizontal line. A line from the rightmost of these three blocks goes down and then right to a blue rectangular block positioned below the middle block of the top row. A line from the rightmost of these four blocks goes up and then right to a large blue block on the far right labeled 'SWCuE'. Two smaller blue blocks are positioned between the 'SWCuE' block and the fourth block, with lines connecting them to both.

# Technical Challenges

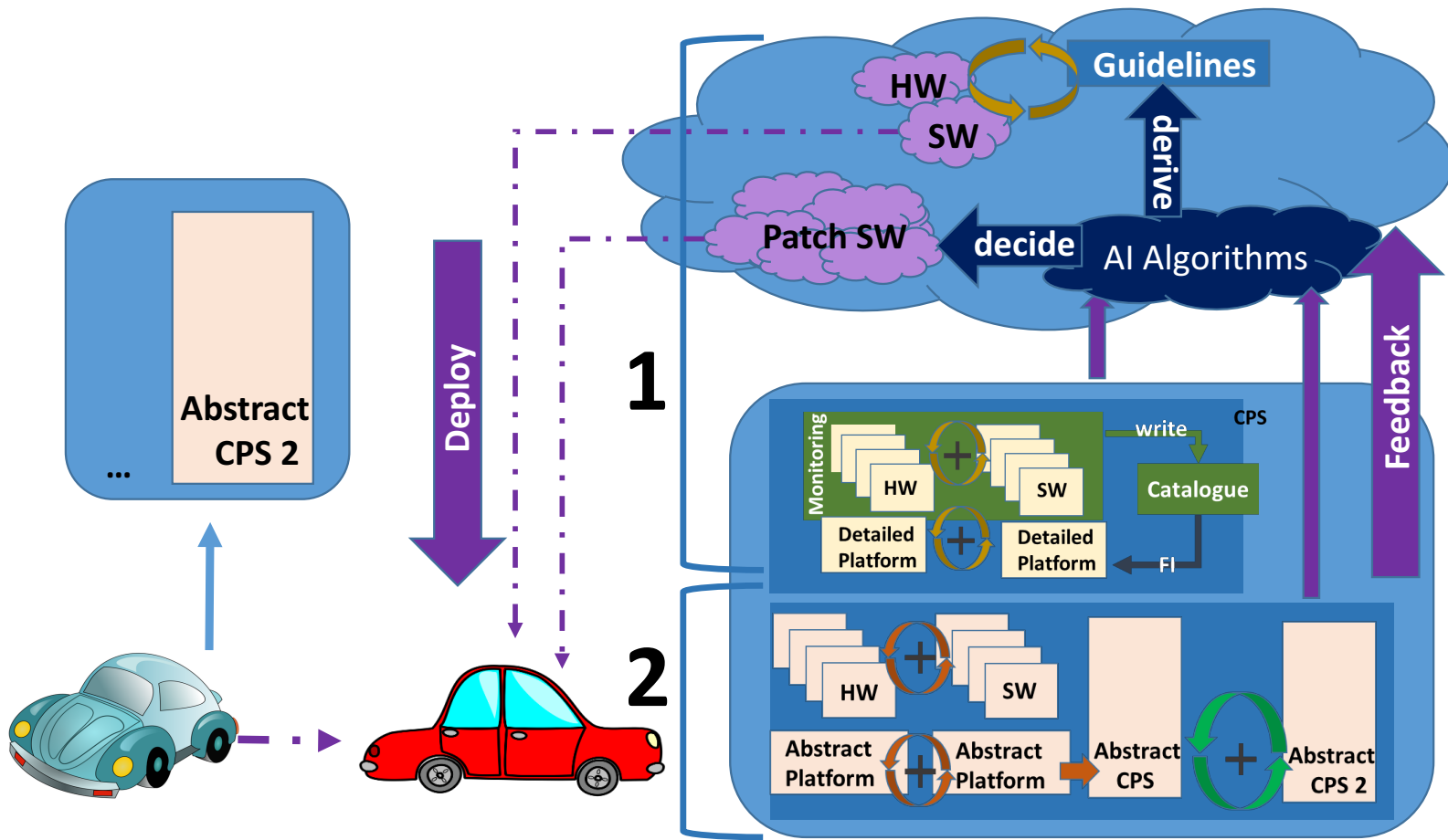
Behavior of SWCuE linked to	Source of problem	Real World	Virtual World
Software Behavior	5. Retain state		
			
	6. Monitor the passing of time		
	7. Observe the passing of time	<p>time is passing linearly</p> 	<p>time is not passing linearly</p> 

# BUILD TRUST IN ECOSYSTEMS AND COMPONENTS

- Concept for Platform that enables building of trust :
  - Trust the Virtual Evaluation.
    - **Output Processor:**  
outputs {frequency, value range of data, noise signals}
    - **Monitor :**  
detect suspicious interaction patterns.
    - **The Software Wrapper**  
assures that the behavior of software component is not able to retain the state,  
neither to monitor or observe the passing of time.
  - Components that enables computation of reputation.







Thank you for your interest

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