Dependable AI Systems

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ENGINEERING

Artificial Intelligence

- An intelligent agent or system that perceives its environment and takes actions to maximize chance of success at some goal
- Mimic human cognitive functions
- Central problems or goals of AI:
 - Reasoning
 - Knowledge engineering
 - Planning
 - Learning
 - Natural language processing
 - Perception (vision and speech)





Credits: techcrunch.com

Machine Learning

- Building block of AI systems
- Data Analytics
- Cognitive Systems
- Autonomous Systems
- Cyber-physical Systems



Basics of Risk Minimization

• Basic notation:

- Joint random variables $X \in \mathcal{X}$ (features) and $Y \in \mathcal{Y}$ (labels)
- Probability density function $f_{X,Y}(x,y)$
- A function mapping $h \in \mathcal{H} : \mathcal{X} \to \mathcal{Y}$
- A loss function $L: \mathcal{Y} \times \mathcal{Y} \to \mathbb{R}$
- Risk *R(h)* is defined as the expected value of loss:

$$\mathbb{E}[L(h(X),Y)] = \int_{\mathcal{X}} \int_{\mathcal{Y}} L(h(x),y) f_{X,Y}(x,y) dy dx$$

- L(h(x),Y) measures the discrepancy between the predicted value for y (h(x)) and y itself
- Ideal Goal: Learn the function *h(x)* that minimizes the risk *R(h)*.

ML Empirical Risk Minimization

- In practice, probability distribution of $f_{X,Y}(x,y)$ is unknown
- We only have a training set of samples drawn i.i.d. from the joint distribution (X; Y):

 $\{(x_1,y_1),\ldots,(x_m,y_m)\}$

• **ML Goal:** Learn the function *h*(*x*) that such that the empirical risk is minimized:

$$R_m^{emp}(h) = \frac{1}{m} \sum_{i=1}^m L(h(x_i), y_i)$$

Pitfalls

- Learning systems encounter a finite number of test samples before live deployment
- Actual operational risk is an empirical quantity on the test set
- Training samples (distribution) not always representative of testing samples
- Distribution and cost of outcomes are unknown

Consequences

<u>Google Mistakenly Tags Black People as 'Gorillas'</u>, 2015 Google apologises for Photos app's racist blunder

() 1 July 2015 | Technology

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Tesla S fatal crash, radar/cameras fail to recognize a white car (2016)

Safety of Machine Learning

- Reduction of risk and uncertainty associated with unwanted outcomes that are severe enough to be seen as harmful.
- Both the probability of expected harms and the possibility of unexpected harms.
- **Harmful costs:** Costs of unwanted outcomes must be sufficiently high from society perspective for events to be harmful
- **Epistemic uncertainty:** Harmful outcomes often occur in regimes and operating conditions that are unexpected or undetermined.
- Safety requirements:
 - **Consequences:** Harmful to not critical
 - **Costs and impacts:** Real-time, near time, long term
- Ongoing Research:
 - Handling Bias in training data
 - Interpretable models

Security of Machine Learning

- Evasion Attacks: find samples that are misclassified by a classifier to evade detection while preserving the desired malicious behavior
- **Poisoning Attacks:** injects constructed samples into the training data to control the properties of the learned model
- **Privacy-Preserving Learning:** collaborative model building without exposing data (multi-party secure computation)
- **Disclosure:** protect sensitive information about the training data from interactions with the model.

Credits: David Evans, Quanquan Gu, Mohammad Mahmoody, Yanjun Qi, CS Department, UVA

Research Programs

• Future of Life Institute AI Safety Research https://futureoflife.org/ai-safety-research/

 AAAI Open Letter: Research priorities for robust and beneficial artificial intelligence <u>https://futureoflife.org/data/documents/research_pr</u> <u>iorities.pdf?x33688</u>



Elon Musk donates \$10M to keep Al beneficial October 12, 2015 / by Max Tegmark

- National Science Foundation
- Intelligent Physical Systems (IPS)
- **Reflective:** Capable of monitoring their actions, diagnosing problems, and optimizing, reconfiguring, and repairing autonomously.
- **Ethical**: Adhere to an ethical system of societal and legal rules and capable of ethical reasoning, such as incorporating societal values into their reasoning.

Smart and Autonomous Systems (S&AS)

PROGRAM SOLICITATION NSF 16-608



National Science Foundation

Directorate for Computer & Information Science & Engineering Division of Information & Intelligent Systems Division of Computer and Network Systems Division of Computing and Communication Foundations

Governments Initiatives



- White House Office of Science and Technology Workshops:
 - Legal and Governance Implications of Artificial Intelligence
 - <u>Safety and Control for Artificial Intelligence</u>
 - <u>The Social and Economic Implications of Artificial Intelligence Technologies in the Near-Term</u>

European Union

- Regulations for data protection taking effect in 2018
- Prohibiting algorithms that make any "decision based solely on automated processing, including profiling" that significantly affect a data subject or produce legal effects concerning him/her.
- Affecting recommendation systems, credit and insurance risk assessments, and social networks

Community Activities

- **NIPS** workshop on Reliable Machine Learning in the Wild <u>https://sites.google.com/site/wildml2016nips/</u>
- StartupML workshop on Adversarial machine learning <u>https://conf.startup.ml/adversarial/</u>
- ISSRE workshop on Software Certification (WoSoCer 2017)
 Special theme: Certification of Autonomous/ML/AI-based systems
 <u>https://sites.google.com/view/wosocer</u>
- DSN workshop on Dependable ML/AI Systems



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- Battista Biggio, et al, "Poisoning Attacks against Support Vector Machines," In Proceedings of the 29th International Conference on Machine Learning, 2012.
- Lu Tian, et al. "Aggregating Private Sparse Learning Models Using," Multi-Party Computation. In Private MultiParty Machine Learning (NIPS 2016 Workshop), December 2016.
- Preparing for the Future of Artificial Intelligence, <u>https://obamawhitehouse.archives.gov/blog/2016/05/03/preparing-future-artificial-intelligence</u>
- B. Goodman and S. Flaxman, "European Union regulations on algorithmic decision-making and a 'right to explanation'," in Proc. ICML Workshop Human Interpretability, New York, NY, Jun. 2016, pp. 26– 30.