

# Part I

## Logical agents

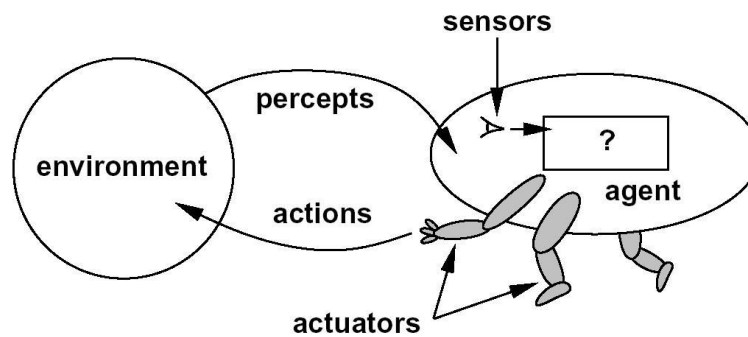
### Outline

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## 1 Knowledge-based agents

What is an agent?



Agents interact with environments through sensors and actuators

### Knowledge-based agent

**Definition 1.** A *Knowledge base* is a set of sentences in a *formal language*.

**Definition 2.** *Knowledge-based agent:* Agents can be viewed at the knowledge level i.e., *what they know*, regardless of how implemented

*Generic knowledge-based agent:*

1. *PERCEIVE* an input

2. *TELL* the knowledge base what it perceives (same language)
3. *ASK* the knowledge base for an action to return (reasoning in the KB for a query, inference)
4. *TELL* the knowledge base about this action that has been executed (update of the KB)

A good way to represent and interact with a *KB* is *Logic*.

## 2 Logic in general models and entailment

### Logic? But what is it?

Logics are *formal languages* for representing information such that conclusions can be drawn. To define a logic, we need:

1. *syntax*: how a *sentence* of the logic looks like?
2. *semantic*: what is the meaning of the sentence?
  - Given a *world*, is the sentence *true* or *false*?

*Example 3.* The language of arithmetic

Syntax:  $x + 2 \geq y$  is a sentence;  $x^2 + y \geq y$  is not a sentence

Semantic:  $x + 2 \geq y$  is true in a world where  $x = 7, y = 1$   $x + 2 \geq y$  is false in a world where  $x = 0, y = 6$

### Entailment

Entailment means that one sentence ( $\alpha$ ) follows from other sentences (*KB*) and is denoted:

$$KB \models \alpha$$

We say that the Knowledge Base *KB* entails  $\alpha$  if and only if  $\alpha$  is true in all worlds where *KB* is true. Entailment is a relationship between sentences (i.e. syntax) that is based on semantics.

*Example 4.* Knowledge Base = { "The car is blue" "The bicycle is green or yellow" }

*KB* entails sentences  $\alpha$  like:

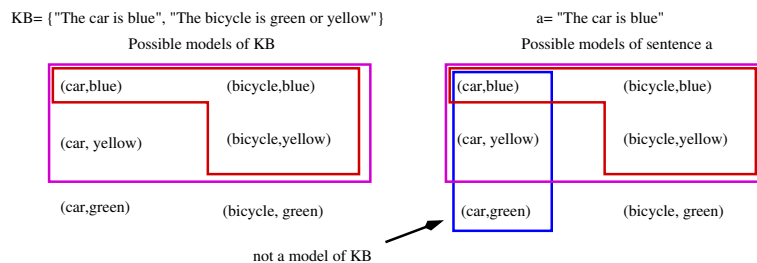
- "The car is blue"
- true
- "The car is blue or the bicycle is yellow"

The sentence "The car is blue and the bicycle is yellow" is not entailed by *KB*.

### World in Logic = Model

**Definition 5.** We say *m* is a *model* of a sentence  $\alpha$  if  $\alpha$  is true in the world *m*. We denote by  $M(\alpha)$  the set of models

*KB* entails  $\alpha$  if and only if  $M(KB) \subseteq M(\alpha)$ .



*Example 6.*

## Inference

**Definition 7.** *Inference:* A sentence  $\beta$  can be inferred from another sentence  $\alpha$  by some inference algorithm  $i$ . This is denoted:

$$\alpha \vdash_i \beta$$

**Definition 8.** *Soundness:* An inference algorithm is *sound* if it produces entailed sentences

**Definition 9.** *Completeness:* An inference algorithm is *complete* if it can derive all the sentences which it entails.

## Well-known logics

1. Propositional logic
2. First-order logic
3. Default logic
4. Circumscription
5. Temporal logic
6. Modal logic
7. ..

Every logic has its Pros and Cons (expressivity, soundness and completeness of inference algorithm)

## 3 Propositional Logic: a very simple logic

### Propositional Logic: a very simple logic

We consider a set of proposition symbols  $\{p_1, p_2, \dots\}$ .

**Definition 10.** *Syntax:* What is a sentence in the propositional logic?

1. any proposition symbol  $p_i$  is a sentence (*atomic sentence*)
2. if  $S$  is a sentence then  $\neg S$  is a sentence
3. if  $S_1$  and  $S_2$  are sentences then  $S_1 \wedge S_2$  is a sentence
4. if  $S_1$  and  $S_2$  are sentences then  $S_1 \vee S_2$  is a sentence
5. if  $S_1$  and  $S_2$  are sentences then  $S_1 \Rightarrow S_2$  is a sentence
6. if  $S_1$  and  $S_2$  are sentences then  $S_1 \Leftrightarrow S_2$  is a sentence

*Example 11.*  $p_1, p_1 \wedge p_2, p_1 \vee (\neg p_2 \wedge p_3), (p_3 \Rightarrow p_4) \wedge (p_4 \Rightarrow p_3), \dots$

## Propositional Logic: a very simple logic

**Definition 12.** *Semantics:* What is the meaning of a sentence? A model  $m$  is a mapping between the proposition symbols  $\{p_1, p_2, \dots\}$  and  $\{true, false\}$ . Given  $m$ , we have:

1.  $\neg S$  is true iff  $S$  is false (“not”  $S$ )
2.  $S_1 \wedge S_2$  is true iff  $S_1$  is true and  $S_2$  is true ( $S_1$  “and”  $S_2$ )
3.  $S_1 \vee S_2$  is true iff  $S_1$  is true or  $S_2$  is true ( $S_1$  “or”  $S_2$ )
4.  $S_1 \Rightarrow S_2$  is true iff  $S_1$  is false or  $S_2$  is true ( $S_1$  “implies”  $S_2$ )
  - i.e.  $S_1 \Rightarrow S_2$  is false iff  $S_1$  is true or  $S_2$  is false
5.  $S_1 \Leftrightarrow S_2$  is true iff  $S_1 \Rightarrow S_2$  is true and  $S_2 \Rightarrow S_1$  is true ( $S_1$  “is equivalent to”  $S_2$ )

## Propositional Logic: a very simple logic

*Example 13.* Symbols =  $\{abcd\}$

Given the model  $m = \{a = true, b = false, c = true, d = false\}$ , then

- $\neg a = false$ ,
- $a \wedge \neg b = true$ ,
- $a \vee (b \wedge \neg c) = true$ ,
- $d \Rightarrow c = true$ ,
- $d \Rightarrow \neg c = true$ ,
- $\neg d \Rightarrow \neg c = false$ ,
- $\neg(a \vee b) \Leftrightarrow d = true$

## 4 Equivalence, validity, satisfiability

### Logical equivalence

**Definition 14.** Two sentences  $\alpha, \beta$  are *logically equivalent* IF AND ONLY IF they are true in the same models.  $\alpha$  entails  $\beta$  and vice-versa.

$(\alpha \wedge \beta)$	$\equiv$	$(\beta \wedge \alpha)$	commutativity of $\wedge$
$(\alpha \vee \beta)$	$\equiv$	$(\beta \vee \alpha)$	commutativity of $\vee$
$((\alpha \wedge \beta) \wedge \gamma)$	$\equiv$	$(\alpha \wedge (\beta \wedge \gamma))$	associativity of $\wedge$
$((\alpha \vee \beta) \vee \gamma)$	$\equiv$	$(\alpha \vee (\beta \vee \gamma))$	associativity of $\vee$
$\neg(\neg\alpha)$	$\equiv$	$\alpha$	double-negation elimination
$(\alpha \Rightarrow \beta)$	$\equiv$	$(\neg\beta \Rightarrow \neg\alpha)$	contraposition
$(\alpha \Rightarrow \beta)$	$\equiv$	$(\neg\alpha \vee \beta)$	implication elimination
$(\alpha \Leftrightarrow \beta)$	$\equiv$	$((\alpha \Rightarrow \beta) \wedge (\beta \Rightarrow \alpha))$	biconditional elimination
$\neg(\alpha \wedge \beta)$	$\equiv$	$(\neg\alpha \vee \neg\beta)$	De Morgan
$\neg(\alpha \vee \beta)$	$\equiv$	$(\neg\alpha \wedge \neg\beta)$	De Morgan
$(\alpha \wedge (\beta \vee \gamma))$	$\equiv$	$((\alpha \wedge \beta) \vee (\alpha \wedge \gamma))$	distributivity of $\wedge$ over $\vee$
$(\alpha \vee (\beta \wedge \gamma))$	$\equiv$	$((\alpha \vee \beta) \wedge (\alpha \vee \gamma))$	distributivity of $\vee$ over $\wedge$

## Validity and satisfiability

**Definition 15.** A sentence is *valid* if it is true in *ALL models*:

$$a \vee \neg a, a \Rightarrow a, (a \wedge (a \Rightarrow b)) \Rightarrow b$$

$KB$  entails  $\alpha$  ( $KB \models \alpha$ ) iff the sentence  $KB \Rightarrow \alpha$  is valid. Validity is then connected to inference.

**Definition 16.** 1. A sentence is *satisfiable* if it is true in *SOME models*. A valid sentence is satisfiable, but a satisfiable sentence may be not valid.

2. A sentence is *unsatisfiable* if it is true in *NO models*:

$$a \wedge \neg a, (a \wedge b) \Leftrightarrow (\neg a \wedge c)$$

$KB$  entails  $\alpha$  ( $KB \models \alpha$ ) iff the sentence  $KB \wedge \neg \alpha$  is unsatisfiable.

## 5 Inference rules and theorem proving in propositional logic

### Inference, Theorem proving

Given  $KB$ , can I prove the sentence  $\alpha$ ? Is  $\alpha$  satisfiable in  $KB$ ?  $KB \models \alpha$ ? Is  $KB \wedge \neg \alpha$  unsatisfiable?

Model (Truth table) enumeration, we check that  $M(KB) \subseteq M(\alpha)$ .

Bad news: exponential in the number of proposition symbols involved in  $KB, \alpha$ .

Some improved methods: Davis-Putnam-Logemann-Loveland (complete), min-conflicts-like (incomplete) hill-climbing (incomplete).

- Sound generation of new sentences from old.
- Proof = a sequence of *inference rules* that finally generate  $\alpha$

Methods: Forward-chaining, Backward chaining, Resolution

### Inference rules: examples

*Example 17.* Modus Ponens:

$$\frac{a, a \Rightarrow b}{b}$$

And-elimination:

$$\frac{a \wedge b}{a}$$

Factoring:

$$\frac{a \vee a}{a}$$

Logical equivalences:

$$\frac{\neg a \vee \neg b}{\neg(a \wedge b)}$$
$$\frac{a \Leftrightarrow b}{a \Rightarrow b \wedge b \Rightarrow a}$$

## Forward and backward chaining methods

Proof methods that are simple and efficient. They need a restriction on the form of  $KB$ .

$KB =$  conjunction of Horn clauses

**Definition 18.** A Horn clause is

- a propositional symbol  $a$ , or
- something like  $p_1 \cdots p_n \Rightarrow c$  ( $p_i$  is a *premise* and  $c$  the *conclusion*)

## Inference problem

**Rule 1**  $P \Rightarrow Q$

**Rule 2**  $L \wedge M \Rightarrow P$

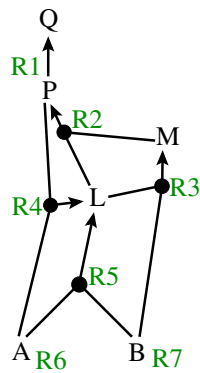
**Rule 3**  $B \wedge L \Rightarrow M$

**Rule 4**  $A \wedge P \Rightarrow L$

**Rule 5**  $A \wedge B \Rightarrow L$

**Rule 6**  $A$

**Rule 7**  $B$



Is the proposition  $Q$  true or not?

## Forward chaining method

Forward chaining:

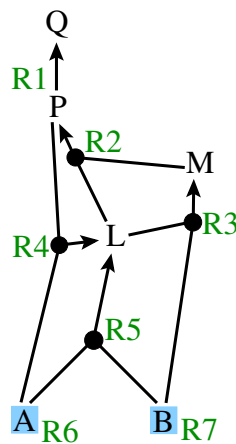
1. Fire any rule whose premises are satisfied in the Knowledge Base
2. Add its conclusion to the Knowledge Base
  - Management of a *Working Memory* (an Agenda)
3. Repeat 1 and 2 until the proposition  $Q$  is true or no more conclusion can be derived

$$\frac{a_1, \dots, a_n \quad a_1 \wedge \dots \wedge a_n \Rightarrow b}{b}$$

### Forward chaining: example

$A$  and  $B$  are known in the knowledge base (Rules 6 and 7).

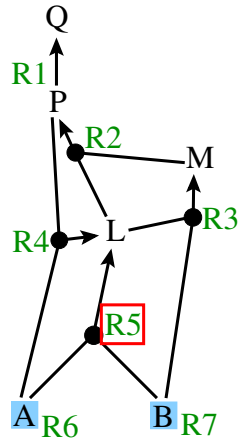
$$\text{Agenda} = \{A, B\}$$



### Forward chaining: example

Rule 5 can be fired since  $A$  and  $B$  are known.

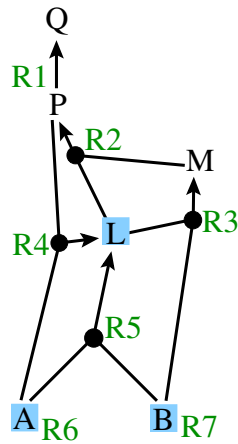
$$A \wedge B \Rightarrow L$$



**Forward chaining: example**

The agenda is updated with the conclusion (head) of Rule 5:

$$Agenda = \{A, B, L\}$$

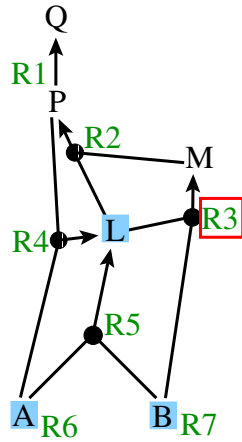


**Forward chaining: example**

Rule 3 can be fired since  $B$  and  $L$  are known.

$$B \wedge L \Rightarrow M$$

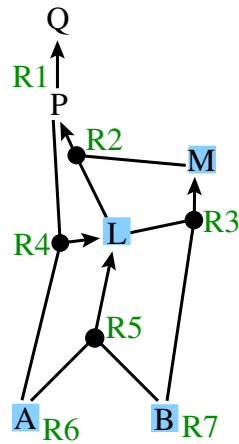




**Forward chaining: example**

The agenda is updated with the conclusion (head) of Rule 3:

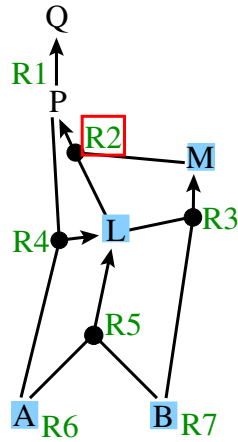
$$Agenda = \{A, B, L, M\}$$



**Forward chaining: example**

Rule 2 can be fired since  $M$  and  $L$  are known.

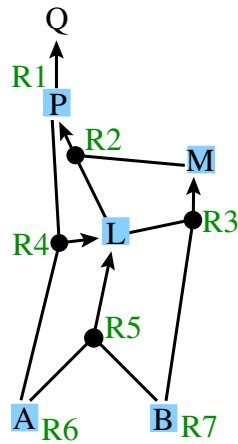
$$M \wedge L \Rightarrow P$$



**Forward chaining: example**

The agenda is updated with the conclusion (head) of Rule 2:

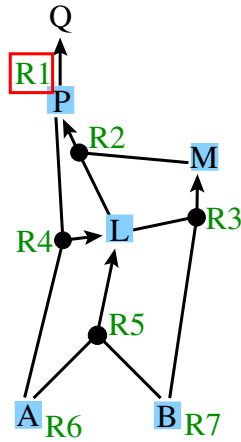
$$Agenda = \{A, B, L, M, P\}$$



**Forward chaining: example**

Rule 1 can be fired since  $P$  is known.

$$P \Rightarrow Q$$

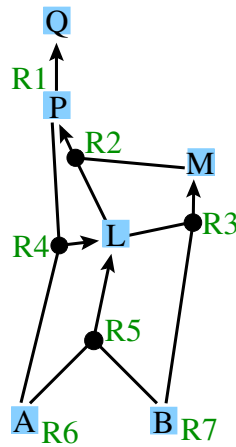


### Forward chaining: example

The agenda is updated with the conclusion (head) of Rule 1:

$$\text{Agenda} = \{A, B, L, M, P, Q\}$$

*Q has been derived. STOP*



### Properties of the FC algorithm

**Theorem 19.** *The forward chaining algorithm is complete.*

- Every atomic proposition that is provable in the knowledge base can be derived thanks to the algorithm.
- The result is due to the fact that:
  1. The knowledge base is a conjunction of Horn clauses.
  2. In the worst case of FC, every clause is fired.

**Theorem 20.** *The forward chaining algorithm runs in linear time.*

- Every rule is fired at most once.

**Backward chaining method**

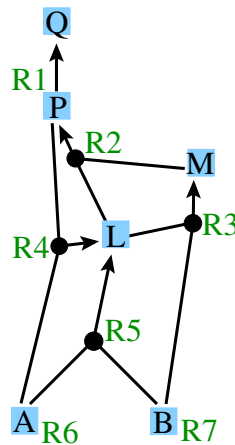
At a given step, the choice between the firable rules is *random*. FC may apply rules that are *useless* for the proof of  $Q$ ! *Data-driven algorithm*

*Backward chaining: Goal-driven algorithm*

- To prove  $Q$  every premise of one rule which concludes  $Q$  has to be at least proved.
- Basically,  $\text{BackwardChaining}(Q) =$ 
  1. If  $Q$  is known in  $KB$  then  $Q$  is proved
  2. Otherwise, select a rule  $P_1 \wedge \dots \wedge P_n \Rightarrow Q$  in  $KB$
  3. Recursively apply  $\text{BackwardChaining}(P_1), \dots, \text{BackwardChaining}(P_n)$  to prove the premises.
  4. Repeat 2-3 until  $Q$  is proved or no more rules can be selected.

**Backward chaining: example**

To prove  $Q$ , we need to prove  $P$ . To prove  $P$  we need to prove  $L$  and  $M$  etc. So it goes until  $A$  and  $B$  are reached.  $A$  and  $B$  are known in  $KB$  so  $Q$  is proved.



**Backward chaining method (2)**

**Theorem 21.** *The backward chaining algorithm is complete.*

**Theorem 22.** *The backward chaining algorithm runs in linear time.*

- Every rule is fired at most once
- In practice, it is much less than linear in size of  $KB$ : it is linear in the size of the set of rules that are involved in the proof of the premises of  $Q$ .

### Propositional logic inference: resolution algorithm

FC and BC are efficient algorithms but they make the assumption that KB is a set of Horn clauses.

Given a KB, is there an algorithm which is sound and complete?

YES, this is called a *resolution algorithm* based on the resolution inference rule.

#### Resolution inference rule

$$\frac{\ell_1 \vee \dots \vee \boxed{\ell_i} \vee \dots \vee \ell_k, \quad \ell'_1 \vee \dots \vee \boxed{\ell'_j} \vee \dots \vee \ell'_n}{\ell_1 \vee \dots \vee \ell_{i-1} \vee \ell_{i+1} \vee \dots \vee \ell_k \vee \ell'_1 \vee \dots \vee \ell'_{j-1} \vee \ell'_{j+1} \vee \dots \vee \ell'_n}$$

with  $\boxed{\ell_i = a}$  and  $\boxed{\ell_j = \neg a}$  or  $\boxed{\ell_i = \neg a}$  and  $\boxed{\ell_j = a}$   
 ( $a$  is an atomic sentence)

Example 23.

$$\frac{\boxed{e}, \boxed{\neg e}}{\emptyset} \\ \frac{v \vee \boxed{\neg w} \vee \neg x, \boxed{w} \vee \neg x \vee y \vee z}{v \vee \neg x \vee y \vee z}$$

#### Resolution inference rule (2): CNF

The rule is applied on sentences like  $(\ell_1 \vee \dots \vee \ell_k) \wedge \dots \wedge (\ell_m \vee \dots \vee \ell_n)$  where  $\ell_i$  are positive/negative literals.

This form is called *Conjunctive Normal Form* (CNF for short).

Every sentence in proposition logic is logically equivalent to a CNF sentence.

Example 24.  $(a \vee b) \Leftrightarrow (c \wedge d)$  is logically equivalent to the CNF  $(\neg a \vee c) \wedge (\neg a \vee d) \wedge (\neg b \vee c) \wedge (\neg b \vee d) \wedge (\neg c \vee \neg d \vee a \vee b)$ .

#### Conversion to a CNF

1. Eliminate  $\Leftrightarrow$ , replacing  $\alpha \Leftrightarrow \beta$  with  $(\alpha \Rightarrow \beta) \wedge (\beta \Rightarrow \alpha)$ .
2. Eliminate  $\Rightarrow$ , replacing  $\alpha \Rightarrow \beta$  with  $\neg \alpha \vee \beta$ .
3. Move  $\neg$  inwards using de Morgan's rules  $\{\neg(a \wedge b) \equiv (\neg a \vee \neg b), \neg(a \vee b) \equiv (\neg a \wedge \neg b)\}$  and double negation rule  $(\neg \neg a \equiv a)$
4. Apply distributivity law ( $\vee$  over  $\wedge$ ) and flatten  $(a \wedge b) \vee c \equiv (a \vee c) \wedge (b \vee c)$

**Resolution algorithm**

**Definition 25.** *Proof by contradiction:* given  $KB$ , to prove  $\alpha$ , we prove that  $KB \wedge \neg\alpha$  is not satisfiable.

*Example 26.* Symbols:

- $Und$ : “The students have understood this lecture”
- $Gt$ : “I am a good teacher”
- $Party$ : “The students went to a party last night”

Knowledge base:

$$KB = (\neg Und \Leftrightarrow (\neg Gt \vee Party)) \wedge Und$$

Query to prove: *I am a good teacher*

$$\alpha = Gt$$

**Resolution algorithm**

*Example 27.* Conversion to CNF:  $KB \wedge \neg\alpha \rightarrow (KB \wedge \neg\alpha)_{CNF}$

$$\begin{aligned} (KB \wedge \neg\alpha)_{CNF} &= (\neg Party \vee \neg Und) \wedge \\ &\quad (\neg Gt \vee Und \vee Party) \wedge \\ &\quad (\neg Und \vee Gt) \wedge \\ &\quad Und \wedge \neg Gt \end{aligned}$$

*Example 28.*  $\neg Party \vee \neg Und$   $\neg Gt \vee Und \vee Party$   $\neg Und \vee Gt$   $Und$   $\neg Gt$

**Resolution algorithm**

*Example 29.*  $\neg Party \vee \neg Und$   $\neg Gt \vee Und \vee Party$   $\neg Und \vee Gt$   $Und$   $\neg Gt$

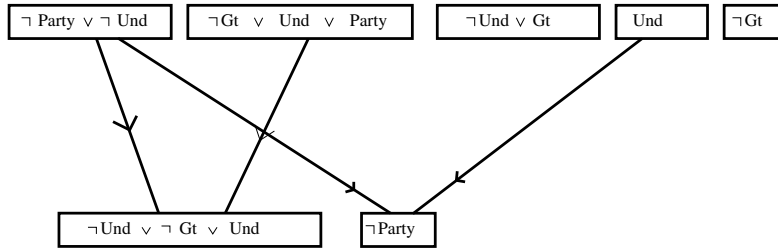
**Resolution algorithm**

*Example 30.*  $\neg Party \vee \neg Und$   $\neg Gt \vee Und \vee Party$   $\neg Und \vee Gt$   $Und$   $\neg Gt$

↙ ↘

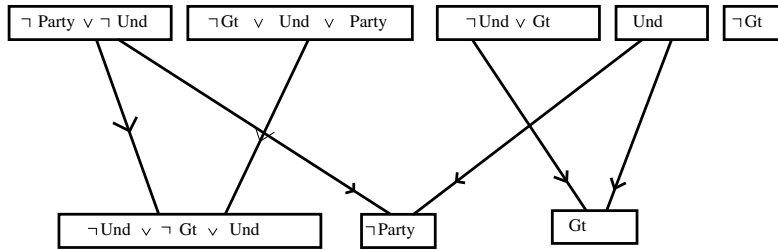
$\neg Und \vee \neg Gt \vee Und$

**Resolution algorithm**



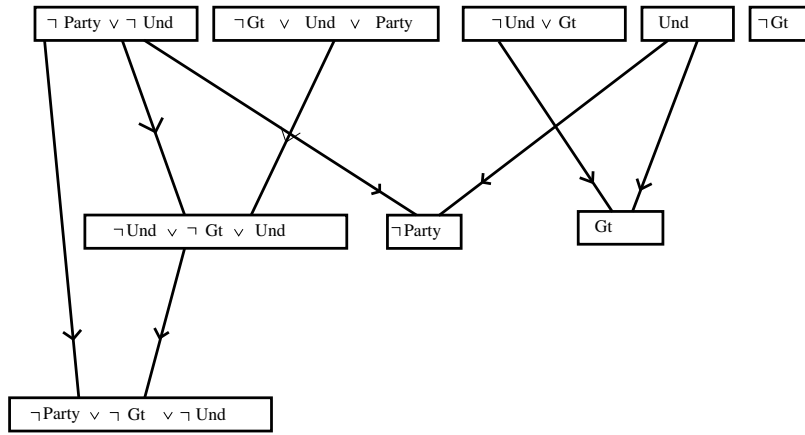
Example 31.

**Resolution algorithm**



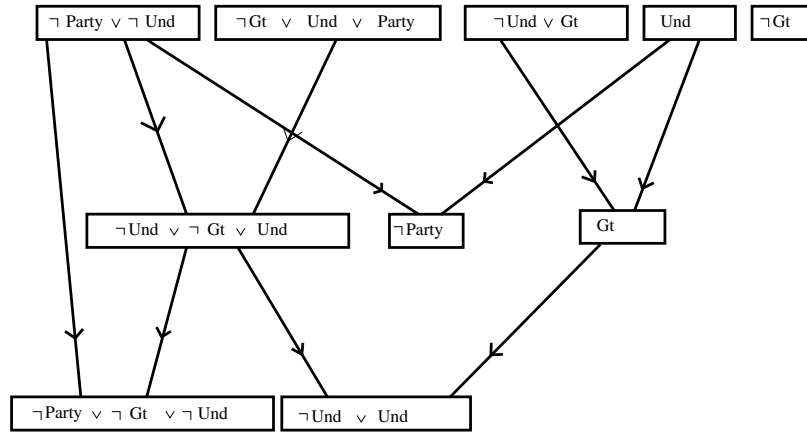
Example 32.

**Resolution algorithm**



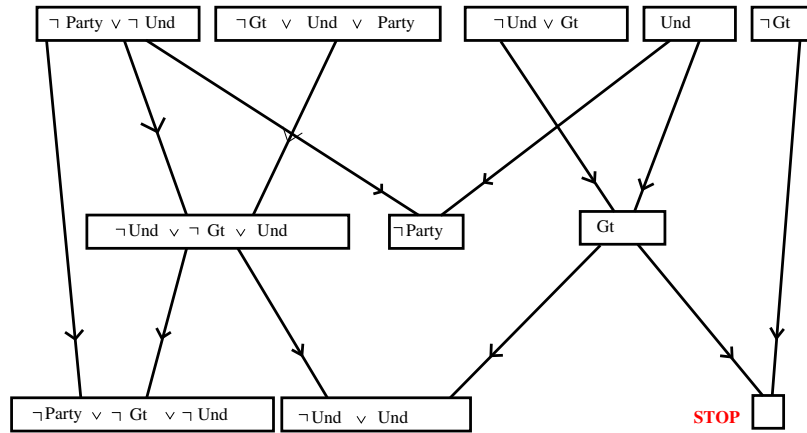
Example 33.

**Resolution algorithm**



Example 34.

### Resolution algorithm



Example 35.

### Summary

Logical agents apply *inference* to a *knowledge base* to derive new information and make decisions  
 Basic concepts of logic:

- *syntax*: formal structure of *sentences*
- *semantics*: *truth* of sentences wrt *models*
- *entailment*: necessary truth of one sentence given another
- *inference*: deriving sentences from other sentences
- *soundness*: derivations produce only entailed sentences
- *completeness*: derivations can produce all entailed sentences

Forward, backward chaining are linear-time, complete for Horn clauses Resolution is complete for propositional logic

Propositional logic lacks expressive power